

RFP No.: BSCL/231/2016/203 DATE: 19/01/2017

(REVISED: 15.3.2017) Request For Proposal For

Redevelopment of Janpath Road including Streetscape Design, Beautification, Landscaping, Intersection Redesign, and Infrastructure Upgrades in Bhubaneswar's Town Centre District (ABD) under Smart Cities Mission on

Engineering, Procurement & Construction (EPC) Mode Contract

BHUBANESWAR SMART CITY LIMITED

2nd floor, Block – 1, BMC Bhawani Office Complex, Saheed Nagar, Bhubaneswar - 751007

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Notice Inviting Bid

No. BSCL/231/2016/203

Bhubaneswar, 19 Jan 2017

Bid Identification No. 203

RFP for Redevelopment of Janpath Road including Streetscape Design, Beautification, Landscaping, Intersection Redesign, and Infrastructure Upgrades in Bhubaneswar's Town Centre District (ABD) under Smart Cities Mission on Engineering, Procurement and Construction (EPC) mode

Bhubaneswar Smart City Limited (BSCL), Bhubaneshwar, the "Authority" is engaged in the development of Bhubaneswar Town Centre District (BTCD) area under the implementation of Smart City Proposal of Bhubaneswar and as part of this endeavour, it has been decided to undertake Redevelopment of Janpath Road including Streetscape Design, Beautification, Landscaping, Intersection Redesign, and Infrastructure Upgrades, the "**Project**" in the city of Bhubaneswar through an Engineering, Procurement and Construction (**EPC**) Contract.

The Chief Executive Officer (CEO), BSCL, Bhubaneswar now invites bids from eligible contractors for the following projects:

Sl.	Bid	Name of work	Estimated	Completion	Maintenance
No.	identificatio		cost	period	period
	n No.		(Rs. in Cr.)		
1	2	3	4	5	6
1	BSCL/231/2 016/203	Redevelopment of Janpath Road including Streetscape Design, Beautification, Landscaping, Intersection Redesign, and Infrastructure Upgrades on an Engineering, Procurement and Construction (EPC) mode in Bhubaneswar's Town Centre District (ABD) under Smart Cities Mission.	<u>80.47</u>	24 (twenty four) Calendar months	48 (forty eight) Calendar months

The complete BID documents can be viewed/downloaded frome-procurement portal of the State Government https://tendersodisha.gov.in from 31.01.2017 to 02.03.2017 (**revised up to 6.4.2017**) (up to 17.00 Hrs. IST). Bids must be submitted online only at https://tendersodisha.gov.in on or before 02.03.2017 (**revised up to 6.4.2017**) till 17.00 hours IST. Bids received online shall be opened on **12.04.2017** at **11.30** hours IST.

Bids through any other mode shall not be entertained. However, Bid Security, document fee and Power of Attorney and joint bidding agreement shall be submitted physically by the Bidder from **06.04.2017** (17.00 hours IST) to **11.04.2017** (up to 17.00 hours IST) (after bid Submission end date & before Bid opening date). Subsequent corrigendum/ addendum, if any, shall only be available in web site https://tendersodisha.gov.in.

Please note that the Authority reserves the right to accept or reject all or any BID without assigning any reason whatsoever.

Authority

Chief Executive Officer, Bhubaneswar Smart City Limited,

2nd Floor, Block – 1, BMC Bhawani Mall, Saheed Nagar, Bhubaneswar – 751 007

DISCLAIMER

The information contained in this Request for Proposal document (the "**RFP**") or subsequently provided to Bidder(s), whether verbally or in documentary or any other form by or on behalf of the Authority or any of its employees or advisors, is provided to Bidder(s) on the terms and conditions set out in this RFP and such other terms and conditions subject to which such information is provided.

This RFP is not an Agreement and is neither an offer nor invitation by the Authority to the prospective Bidders or any other person. The purpose of this RFP is to provide interested parties with information that may be useful to them in making their financial offers (BIDs) RFP. pursuant to this This RFP includes statements, which reflect various assumptions and assessments arrived at by the Authority in relation to the Project. Such assumptions, assessments and statements do not purport to contain all the information that each Bidder may require. This RFP may not be appropriate for all persons, and it is not possible for the Authority, its employees or advisors to consider the investment objectives, financial situation and particular needs of each party who reads or uses this RFP. The assumptions, assessments, statements and information contained in the Bidding Documents, and its annexures or complete, schedules, may not be accurate, adequate or correct. Each Bidder conduct should, therefore, its own investigations and analysis and should check the completeness the accuracy. adequacy. correctness, reliability of assumptions. and statements assessments, information contained in this RFP and obtain independent and advice from appropriate sources.

Information provided in this RFP to the Bidder(s) is on a wide range of matters, some of which may depend upon interpretation of law. The information given is not intended to be an exhaustive account of statutory requirements and should not be regarded as a complete or authoritative statement of law. The Authority accepts no responsibility for the accuracy or otherwise for any interpretation or opinion on law expressed herein. The Authority, its employees and advisors make no representation or warranty and shall have no liability to any person, including any Applicant or Bidder under any law, statute, rules or regulations or tort, principles of restitution or unjust enrichment or otherwise for any loss, damages, cost or expense which may arise from or be incurred or suffered on account of anything contained in this RFP or otherwise, including the accuracy, adequacy, correctness, completeness or reliability of the RFP and any assessment, assumption, statement or information contained therein or deemed to form part of this RFP or arising in any way for participation in this BID Stage.

The Authority also accepts no liability of any nature whether resulting from negligence or otherwise howsoever caused arising from reliance of any Bidder upon the statements contained in this RFP. The Authority may in its absolute discretion, but without being under any obligation to do so, update, amend or supplement the information, assessment or assumptions contained in this RFP. The issue of this RFP does not imply that the Authority is bound to select a Bidder or to appoint the Selected Bidder JV or Contractor, as the case may be, for the Project and the Authority reserves the right to reject all or any of the Bidders or BIDs without assigning any reason whatsoever.

The Bidder shall bear all its costs associated with or relating to the preparation and submission of its BID including but not limited to preparation, copying, postage, delivery fees, expenses associated with any demonstrations or presentations which may be required by the Authority or any other costs incurred in connection with or relating to its BID. All such costs and expenses will remain with the Bidder and the Authority shall not be liable in any manner whatsoever for the same or for any other costs or other expenses incurred by a Bidder in preparation or submission of the BID, regardless of the conduct or outcome of the Bidding Process.

GLOSSARY

Agreement	As defined in Clause 1.1.5
Authority	As defined in Clause 1.1.1
Bank Guarantee	As defined in Clause 2.20.1
BID(s)	As defined in Clause 1.2.2
Bidders	As defined in Clause 1.2.2
Bidding Documents	As defined in Clause 1.1.7
BID Due Date	As defined in Clause 1.1.7
Bidding Process	As defined in Clause 1.2.1
BID Security	As defined in Clause 1.2.4
BID Price or BID	As defined in Clause 1.2.6
Contractor	As defined in Clause 1.1.2
Conflict of Interest	As defined in Clause 2.2.1
EPC	As defined in Clause 1.1.1
EPC Contract	As defined in Clause 1.1.2
Estimated Project Cost	As defined in Clause 1.1.4
Feasibility Report	As defined in Clause 1.2.3
Government	Government of Odisha
Joint Venture	As defined in Clause 2.2.1
Lowest Bidder	As defined in Clause 1.2.6
LOA	As defined in Clause 3.3.4
Project	As defined in Clause 1.1.1
Re. or Rs. or INR	Indian Rupee
RFP or Request for Proposals	As defined in the Disclaimer

The words and expressions beginning with capital letters and defined in this document shall, unless repugnant to the context, have the meaning ascribed thereto herein.



BHUBANESWAR SMART CITY LIMITED

2nd floor, Block – 1, BMC Bhawani Mall, Saheed Nagar, Bhubaneswar – 751 007 Tel : + 91 674 – 2548408/28; Email : <u>bbsr.bscl@gmail.com</u>

SECTION 1 INTRODUCTION

1.1 Background

1.1.1 Bhubaneswar Smart City Limited (BSCL), Bhubaneshwar, the "Authority" is engaged in the development of Bhubaneswar Town Centre District (BTCD) area under the implementation of Smart City Proposal of Bhubaneswar and as part of this endeavour, it has been decided to undertake Redevelopment of Janpath Road including Streetscape Design, Beautification, Landscaping, Intersection Redesign, and Infrastructure Upgrades, (the "**Project**") in the city of Bhubaneswar through an Engineering, Procurement and Construction (the "**EPC**") Contract, and has decided to carry out the bidding process for selection of a bidder to whom the Project may be awarded. A brief description of the project may be seen in the Information Memorandum of the Project at the website https://tendersodisha.gov.in. Brief particulars of the Project are as follows:

Name of the State Highway	Length in Km	Estimated Project Cost (In Rupees Crore)	No of months for completion of work
Redevelopment of Janpath Road including Streetscape Design, Beautification, Landscaping, Intersection Redesign, and Infrastructure Upgrades on an Engineering, Procurement and Construction (EPC) mode in Bhubaneswar's Town Centre District (ABD) under Smart Cities Mission.	<u>5.80</u>	<u>80.47</u>	<u>24</u> <u>(twenty four)</u> Calendar months

- 1.1.2 The selected Bidder (the "**Contractor**") shall be responsible for designing, engineering, procurement and construction of the Project under and in accordance with the provisions of an engineering, procurement and construction contract (the "**EPC Contract**") to be entered into between the Contractor and the Authority in the form provided by the Authority as part of the Bidding Documents pursuant hereto. The Contractor shall also be responsible for the maintenance of the project during the Defect Liability Period, which is expected to be as per clause 1.1.3.
- 1.1.3 The scope of work will broadly include redevelopment of Janpath Road including streetscape design, beautification, landscaping, intersection redesign, and infrastructure upgrades with construction of new pavement, rehabilitation of existing pavement, construction and/or rehabilitation of major and minor bridges, culverts, road intersections, interchanges, drains, etc., including street design and beautification of the access road to the Bhubaneswar Railway station and maintenance of the Project during the Defect Liability Period, which shall be **4** (four) years.

- 1.1.4 The estimated cost of the Project (the "Estimated Project Cost") has been specified in the clause 1.1.1 above. The assessment of actual costs, however, will have to be made by the Bidders.
- 1.1.5 The Agreement sets forth the detailed terms and conditions for award of the project to the Contractor, including the scope of the Contractor's services and obligations.
- 1.1.6 The statements and explanations contained in this RFP are intended to provide a better understanding to the Bidders about the subject matter of this RFP and should not be construed or interpreted as limiting in any way or manner the scope of services and obligations of the Contractor set forth in the Agreement or the Authority's rights to amend, alter, change, supplement or clarify the scope of work, the work to be awarded pursuant to this RFP or the terms thereof or herein contained. Consequently, any omissions, conflicts or contradictions in the Bidding Documents including this RFP are to be noted, interpreted and applied appropriately to give effect to this intent, and no claims on that account shall be entertained by the Authority.
- 1.1.7 The Authority shall receive BIDs pursuant to this RFP in accordance with the terms set forth in this RFP and other documents to be provided by the Authority pursuant to this RFP (collectively the "**Bidding Documents**"), and all BIDs shall be prepared and submitted in accordance with such terms on or before the BID due date specified in Clause 1.3 for submission of BIDs (the "**BID Due Date**").

1.2 Brief description of Bidding Process

- 1.2.1 The Authority has adopted a single stage two part system (referred to as the "Bidding Process") for selection of the Bidder for award of the Project. Under this process, the bid shall be invited under two parts. Eligibility and qualification of the Bidder will be first examined based on the details submitted under first part (Technical Bid) with respect to eligibility and qualifications criteria prescribed in this RFP. (The "Bidder", which expression shall, unless repugnant to the context, include the members of the Joint Venture). The Financial Bid under the second part shall be opened of only those Bidders whose Technical Bids are responsive to eligibility and qualifications requirements as per this RFP.
- 1.2.2 Interested bidders are being called upon to submit their BID in accordance with the terms specified in this Bidding Document. The Bid shall be valid for a period of 180 days from the date specified in Clause 1.3 for submission of BIDs (the "**Bid Due Date**").
- 1.2.3 The complete Bidding Documents including the draft Agreement and other annexures / schedules for the Project is enclosed for the Bidders. Subject to the provisions of Clause 2.1.3, the aforesaid documents and any addenda issued subsequent to this RFP Document, will be deemed to form part of the Bidding Documents.
- 1.2.4 A Bidder is required to deposit, along with its BID, a BID Security of Rs.80.47 <u>lakhs (Rupees Eighty lakhs and Forty seven Thousand Only</u>) (the "BID Security"), refundable not later than 150 (One hundred & fifty) days from the BID Due Date, except in the case of the Selected Bidder whose BID Security shall be retained till it has provided a Performance Security under the Agreement. The Bidders will have an option to provide BID Security in the form of Bank Guarantee issued by a Nationalised Bank, counter guaranteed by its branch at Bhubaneswar, and in such event, the validity period of the Bank Guarantee, shall not be less than 180 (one

hundred and eighty) days from the BID Due Date, inclusive of a claim period of 60 (sixty) days, and may be extended as may be mutually agreed between the Authority and the Bidder from time to time. The BID shall be summarily rejected if it is not accompanied by the BID Security.

- 1.2.5 Bidders are advised to examine the Project in greater detail, and to carry out, at their cost, such studies as may be required for submitting their respective BIDs for award of the contract including implementation of the Project.
- 1.2.6 BIDs will be evaluated for the Project on the basis of the lowest cost required by a Bidder for implementing the Project (the "**BID Price**"). The total time allowed for completion of construction under the Agreement (the "**Construction Period**") and the period during which the Contractor shall be liable for maintenance and rectification of any defect or deficiency in the Project after completion of the Construction Period (the "**Defect Liability Period**") shall be pre-determined, and are specified in the draft Agreement forming part of the Bidding Documents. In this RFP, the term "Lowest Bidder" shall mean the bidder who is quoting the lowest BID price.
- 1.2.7 Generally, the Lowest Bidder shall be the selected Bidder. In case such Lowest Bidder withdraws or is not selected for whatsoever reason, the Authority shall annul the Bidding Process and invite fresh BIDs.
- 1.2.8 Other details of the process to be followed under this bidding process and the terms thereof are spelt out in this RFP.
- 1.2.9 Any queries or request for additional information concerning this RFP shall be submitted by e-mail to the officer designated in Clause 2.11.4 below with identification/ title: "Queries / Request for Additional Information: RFP for "Redevelopment of Janpath Road including Streetscape Design, Beautification, Landscaping, Intersection Redesign, and Infrastructure Upgrades in Bhubaneswar's Town Centre District (ABD) under Smart Cities Mission".

1.3 Schedule of Bidding Process

The Authority shall endeavour to adhere to the following schedule:

Sl. No.	Event Description	Date
1.	Invitation of RFP (NIT)	31.01.2017
2.	Last date for receiving queries	Up to 17.00 Hours of 22.02.2017
3.	Pre-BID meeting at venue 2.11.4 (i)	23.02.2017 at 11.00 Hours
4.	Authority response to queries latest by	02.3.2017
5.	Last date of sale of BID Document	Up to 17.00 Hours of 06.04.2017
6	BID Due Date	Up to 17.00 Hours of 06.04.2017
7.	Physical Submission of Bid Security/POA etc.	06.04.2017 (17.00 hours IST) to
		11.04.2017 (up to 17.00 hours IST)
8.	Opening of Technical BIDs at venue 2.11.4 (i)	12.04.2017 at 11.30 hours IST
		O/o the BSCL at Bhubaneswar
9.	Declaration eligible / qualified bidders	Will be notified
10.	Opening of Financial BID	Will be notified
11.	Letter of Award (LOA)	Will be notified
12.	Validity of BID	Within 180 days from BID Due Date
13.	Signing of Agreement	Within 15 days of award of LOA

RFP Part – I: Instruction to Bidders

SECTION-2 INSTRUCTIONS TO BIDDERS

A. GENERAL

2.1. General terms of Bidding

- 2.1.1 No Bidder shall submit more than one BID for the Project. A Bidder bidding individually or as a member of a Joint Venture shall not be entitled to submit another BID either individually or as a member of any Joint Venture, as the case may be.
- 2.1.2 Deleted.
- 2.1.3 Deleted
- 2.1.4 Notwithstanding anything to the contrary contained in this RFP, the detailed terms specified in the draft Agreement shall have overriding effect; provided, however, that any conditions or obligations imposed on the Bidder hereunder shall continue to have effect in addition to its obligations under the Agreement.
- 2.1.5 The BID shall be furnished in the format exactly as per Appendix-I i.e. Technical Bid as per Appendix IA and Financial Bid as per Appendix IB. BID amount shall be indicated clearly in both figures and words, in Indian Rupees in prescribed format of Financial Bid and it will be signed by the Bidder's authorised signatory. In the event of any difference between figures and words, the amount indicated in words shall be taken into account.
- 2.1.6 The Bidder shall deposit the BID Security in accordance with the provisions of this RFP. The Bidder has to provide the BID Security in the form of a Bank Guarantee issued by a Nationalised Bank, counter guaranteed by its branch at Bhubaneswar, as per format at Appendix-II.
- 2.1.7 The validity period of the Bank Guarantee, shall not be less than 180 (one hundred and eighty) days from the BID Due Date, inclusive of a claim period of 60 (Sixty) days, and may be extended as may be mutually agreed between the Authority and the Bidder. The BID shall be summarily rejected if it is not accompanied by the BID Security. The BID Security shall be refundable no later than 150 (one hundred and fifty) days from the BID Due Date except in the case of the Selected Bidder whose BID Security shall be retained till it has provided a Performance Security under the Agreement.
- 2.1.8 The Bidder should submit a Power of Attorney as per the format at Appendix-III, authorising the signatory of the BID to commit the Bidder.
- 2.1.9 In case the Bidder is a Joint Venture, the Members thereof should furnish a Power of Attorney in favour of the Lead Member in the format at Appendix-IV. In case the Bidder is a Joint Venture, Joint Bidding Agreement in the format at Appendix V shall be submitted by the bidder.
- 2.1.10 Any condition or qualification or any other stipulation contained in the BID shall render the BID liable to rejection as a non-responsive BID.
- 2.1.11 The BID and all communications in relation to or concerning the Bidding Documents and the BID shall be in English language.

- 2.1.12 The documents including this RFP and all attached documents, provided by the Authority are and shall remain or become the property of the Authority and are transmitted to the Bidders solely for the purpose of preparation and the submission of a BID in accordance herewith. Bidders are to treat all information as strictly confidential and shall not use it for any purpose other than for preparation and submission of their BID. The provisions of this Clause 2.1.12 shall also apply mutatis mutandis to BIDs and all other documents submitted by the Bidders, and the Authority will not return to the Bidders any BID, document or any information provided along therewith.
- 2.1.13 This RFP is not transferable.
- 2.1.14 Any award of Project pursuant to this RFP shall be subject to the terms of Bidding Documents and also fulfilling the criterion as mentioned in clause 2.2.
- 2.1.15 In case the Bidder is a Joint Venture, it shall comply with the following additional requirements:
 - (a) Number of members in a Joint Venture shall not exceed 3 (three);
 - (b) Subject to the provisions of clause (a) above, the Bid should contain the information required for each Member of the Joint Venture;
 - (c) Members of the Joint Venture shall nominate one member as the lead member (the "Lead Member"). Lead Member shall meet at least <u>50%</u> or above requirement of Technical and Financial Capacity required as per Clause 2.2.2.2 & 2.2.2.3. The nomination(s) shall be supported by a Power of Attorney, as per the format at Appendix-III, signed by all the other Members of the Joint Venture.
 - (d) The Bid should include a brief description of the roles and responsibilities of individual members, particularly with reference to financial, technical and defect liability obligations;
 - (e) An individual Bidder cannot at the same time be member of a Joint Venture applying for this Bid. Further, a member of a particular Bidder Joint Venture cannot be member of any other Bidder Joint Venture applying for this bid;
 - (f) The Lead Member shall itself undertake and perform at least 50 (fifty) per cent of the total length of the Project.
 - (g) members of the Joint Venture shall have entered into a binding Joint Bidding Agreement, substantially in the form specified at Appendix V (the "Jt. Bidding Agreement"), for the purpose of making the Application and submitting a Bid in the event of being pre-qualified. The Jt. Bidding Agreement, to be submitted along with the Application, shall, *inter alia*:
 - (i) Convey the commitment(s) of the Lead Member in accordance with this RFP, in case the contract to undertake the Project is awarded to the Joint Venture; and clearly outline the proposed roles & responsibilities, if any, of each member;
 - (ii) commit the approximate share of work to be undertaken by each member;
 - (iii) include a statement to the effect that all members of the Joint Venture shall be liable jointly and severally for all obligations of the Contractor in relation to the Project until the completion of the Project (the "Defects Liability Period") is achieved in accordance with the EPC Contract; and

(h) Except as provided under this RFP, there shall not be any amendment to the Jt. Bidding Agreement.

- 2.1.16 deleted
- 2.1.17 Notwithstanding anything to the contrary contained herein, in the event that the Bid Due Date falls within three months of the closing of the latest financial year of a Bidder, it shall ignore such financial year for the purposes of its Bid and furnish all its information and certification with reference to the 5 (five) years or 1 (one) year, as the case may be, preceding its latest financial year. For the avoidance of doubt, financial year shall, for the purposes of a Bid hereunder, mean the accounting year followed by the Bidder in the course of its normal business.
- 2.1.18 Any entity which has been barred by the Central/ State Government, or any entity controlled by it, from participating in any project, and the bar subsists as on the Bid Due Date, would not be eligible to submit the BID, either individually or as member of a Joint Venture.
- 2.1.19 The Bidder including individual or any of its Joint Venture Member should, in the last 3 (three) years, have neither failed to perform on any contract, as evidenced by imposition of a penalty by an arbitral or judicial authority or a judicial pronouncement or arbitration award against the Bidder including individual or any of its Joint Venture Member, as the case may be, nor has been expelled from any project or contract by any public entity nor have had any contract terminated by any public entity for breach by such Bidder including individual or any of its Joint Venture Member.
- 2.1.19.1 The Bidder including individual or any of its Joint Venture Member may provide details of all their on-going projects along with updated stage of litigation, if so, against the Authority / Governments.
- 2.1.19.2 The Bidder including individual or any of its Joint Venture Member may also provide details of updated on-going process of blacklisting if so, under any contract with Authority / Government.
- 2.1.19.3 The Authority reserves the right to reject an otherwise eligible bidder on the basis of the information provided under clause 2.1.19. The decision of the Authority in this case shall be final.

2.2 Eligibility and qualification requirements of Bidder

- 2.2.1 For determining the eligibility of Bidder the following shall apply:
 - (a) The Bidder may be a single entity (the "**Sole Bidder**") or a group of entities (the "**Consortium**"), coming together to implement the Project. A consortium of maximum three members is allowed for this project. However, no Bidder applying individually or as a member of a Joint Venture, as the case may be, can be member of another Bidder. The term Bidder used herein would apply to both a single entity and a Joint Venture.
 - (b) Bidder may be a natural person private entity, or any combination of them with a formal intent to enter into a Joint Venture agreement or under an existing agreement to form a Joint Venture. A Joint Venture shall be eligible for consideration subject to the conditions set out in Clause 2.1.15 above.

- (c) A Bidder shall not have a conflict of interest (the "Conflict of Interest") that affects the Bidding Process. Any Bidder found to have a Conflict of Interest shall be disqualified and liable for forfeiture of the BID Security or Performance Security as the case may be. A Bidder shall be deemed to have a Conflict of Interest affecting the Bidding Process, if:
 - the Bidder, its Joint Venture Member (or any constituent thereof) and any other (i) Bidder, its Member or any Member of its Joint Venture thereof (or any constituent thereof) have common controlling shareholders or other ownership interest; provided that this disqualification shall not apply in cases where the direct or indirect shareholding of a Bidder, or its Joint Venture Member thereof (or any shareholder thereof having a shareholding of more than 5% (five percent) of the paid up and subscribed share capital of such Bidder, or its Joint Venture Member, as the case may be), in the other Bidder, its Joint Venture Member is less than 5% (five percent) of the subscribed and paid up equity share capital thereof; provided further that this disqualification shall not apply to any ownership by a bank, insurance company, pension fund or a public financial institution referred to in section 4A of the Companies Act 1956. For the purposes of this Clause 2.2.1(c), indirect shareholding held through one or more intermediate persons shall be computed as follows: (aa) where any intermediary is controlled by a person through management control or otherwise, the entire shareholding held by such controlled intermediary in any other person (the "Subject Person") shall be taken into account for computing the shareholding of such controlling person in the Subject Person; and (bb) subject always to sub-clause (aa) above, where a person does not exercise control over an intermediary, which has shareholding in the Subject Person, the computation of indirect shareholding of such person in the Subject Person shall be undertaken on a proportionate basis; provided, however, that no such shareholding shall be reckoned under this sub-clause (bb) if the shareholding of such person in the intermediary is less than 26% of the subscribed and paid up equity shareholding of such intermediary; or
 - (ii) a constituent of such Bidder is also a constituent of another Bidder; or
 - (iii) such Bidder, or any of its Joint Venture Member thereof receives or has received any direct or indirect subsidy, grant, concessional loan or subordinated debt from any other Bidder, or any of its Joint Venture Member thereof or has provided any such subsidy, grant, concessional loan or subordinated debt to any other Bidder, its Member or any of its Joint Venture Member thereof; or
 - (iv) such Bidder has the same legal representative for purposes of this Application as any other Bidder; or
 - (v) such Bidder, or any of its Joint Venture Member thereof has a relationship with another Bidder, or any of its Joint Venture Member thereof, directly or through common third party/ parties, that puts either or both of them in a position to have access to each other's' information about, or to influence the Application of either or each other; or
 - (vi) such Bidder, or any of its Joint Venture Member thereof has participated as a consultant to the Authority in the preparation of any documents, design or technical specifications of the Project.
- (d) A Bidder shall be liable for disqualification and forfeiture of BID Security, if any legal, financial or technical adviser of the Authority in relation to the Project is

engaged by the Bidder, its Member or any Associate thereof, as the case may be, in any manner for matters related to or incidental to such Project during the Bidding Process or subsequent to the (i) issue of the LOA or (ii) execution of the Agreement. In the event any such adviser is engaged by the selected Bidder or Contractor, as the case may be, after issue of the LOA or execution of the Agreement for matters related or incidental to the project, then notwithstanding anything to the contrary contained herein or in the LOA or the Agreement and without Prejudice to any other right or remedy or the Authority, including the forfeiture and appropriation of the BID Security or Performance Security, as the case may be, which the Authority may have there under or otherwise, the LOA or the Agreement, as the case may be, shall be liable to be terminated without the Authority being liable in any manner whatsoever to the Selected Bidder or Contractor for the same. For the avoidance or doubt, this disqualification shall not apply where such adviser was engaged by the Bidder, its Member or Associate in the past but its assignment expired or was terminated 6 (six) months prior to the date of issue of this RFP. Nor will this disgualification apply where such adviser is engaged after a period of 3 (three) years from the date of commercial operation of the Project.

2.2.2 Qualification requirements of Bidders:

2.2.2.1 **BID Capacity**

Bidders who interalia meet the minimum qualification criteria will be qualified only if their available BID capacity is more than the total BID value (value as per clause 1.1.1). The available BID capacity will be calculated as per following, based on information mentioned at Annexure-VI of Appendix-IA:

Assessed Available BID capacity = (A*N*2 - B), Where

N= Number of years prescribed for completion of work for which Bid is invited.

- A = Maximum value of civil engineering works executed in any one year during the last five years (updated to the price level of the year indicated in table below under note) taking into account the completed as well as works in progress.
- B = Value (updated to the price level of the year indicated in table below under note) of existing commitments and on-going works to be completed during the next 24 (twenty four) months (period of completion of the works for which BID is invited).
- Note: The statement showing the value of all existing commitments and ongoing works as well as the stipulated period of completion remaining for each of the works listed should be countersigned by the client or its Engineer-In-charge not below the rank of Executive Engineer or equivalent or Concessionaire / Authorised Signatory of SPV in respect of BOT Projects and verified by statutory Auditor. For Captive projects, completion certificate issued by MD/Director of the company along with certification of the Chartered Accountant of the applicant regarding project cost, plan/drawing, year of start/completion to that effect. Besides, TDS certificate as required in DTCN/ITB shall be submitted. The factor for the year for updation to the price level is indicated as under:

Request for proposal for redevelopment of Smart Road "Janpath" in Bhubaneswar Town Centre District

Year	2016-17	2015-16	2014-15	2013-14	2012-13
Updation factor	1.00	1.05	1.10	1.15	1.20

2.2.2.2 Technical Capacity

A. Experience of having successfully completed any one of the following works in India on development of streets or similar works¹ during last five (5) years ending on bid due date. Value of executed work shall be brought to current actual value at simple rate of 5% per annum.

One Street Development project or similar works¹ of Rs. 75.00 Crores

Or

Two Street Development projects or similar works¹ of Rs. 50.00 Crores each Or

Three Street Development projects or similar works¹ of Rs. 38.00 Crores each.

- B. The bidder should have in the last 5 years completed the minimum as following: -
 - (i) Bituminous road works (complete in all respect) for 20,000 sq. m. of area;
 - (ii) Cement Concrete works of M20 Grade and above of at least 10,000 Cum;
 - (iii) Any flooring / paving of cement concrete/ stone slabs/ tiles / inter paver blocks etc. for at least 20,000 sq.m. of area;
 - (iv) Electrical works including,
 - a. Street lighting for 200 street poles; or
 - b. Landscape lighting for 10,000 sq. m.; or
 - c. External electrification works with area illumination for 10,000 sq. m.

2.2.2.3 **Financial Capacity**:

(i) Should have an annual average turnover of Rs. 135 Crores during the last three financial years, certified by chartered accountant.

(ii) Should have latest bank solvency certificate for amount of at least Rs. 17 Crores in the current financial year. Certificate should be issued after 31.03.2016 and clearly state that Banker shall be extending necessary financial support required for execution of the subject work.

(iii) The Firm should be making profit during each of the last three financial years, ending on 31.03.2016.

(iv) Should have valid sales tax registrations, Permanent Account Number and other tax registration, as applicable.

2.2.2.4 In case of a Joint Venture:

The Threshold Technical Capacity and Financial Capacity of all the Members of Joint Venture would be taken into account for satisfying the above conditions of

¹ Similar work shall mean in one project the work shall include development of road, road side drainage, footpaths, parking area, street lighting/area illumination and site landscape.

eligibility. Further, Lead Member shall meet 50% or above requirement of Technical and Financial Capacity required as per Clause 2.2.2.2(A) and 2.2.2.3 respectively. However, if the bidder participates in the bidding process through a consortium, it can jointly fulfil the Technical capacity as mentioned in Clause No. 2.2.2.2(B).

2.2.2.5 **Personnel and Equipment Requirement**

The bidders should undertake their own studies and furnish with their bid, a detailed construction planning and methodology supported with layout and necessary drawings and detail calculations to allow the employer to review their proposals. The numbers, types and capacities of each plant/equipment shall be shown in the proposals along with the cycle time for each operation for the given production capacity to match the requirements

- 2.2.2.6 deleted
- 2.2.2.7 Submission in support of Technical Capacity
 - (i) The Bidder should furnish the details of Eligible Experience for the last 5 (five) years immediately preceding the Bid Due Date.
 - (ii) The Bidder must provide the necessary information relating to Technical Capacity as per format at Annex-II of Appendix-IA.
 - (iii) The Bidder should furnish the required Project-specific information and evidence in support of its claim of Technical Capacity, as per format at Appendix-IA.
 - (iv) Any overseas experience submitted by the bidder, lead member or any member of a consortium shall not be eligible for evaluation purpose.
- 2.2.2.8 Submission in support of Financial capacity
 - (i) The Technical Bid must be accompanied by the Audited Annual Reports of the Bidder (of each Member in case of a Joint Venture) for the last 3 (three) financial years, preceding the year in which the bid is submitted.
 - (ii) In case the annual accounts for the latest financial year are not audited and therefore the Bidder cannot make it available, the Bidder shall give an undertaking to this effect and the statutory auditor shall certify the same. In such a case, the Bidder shall provide the Audited Annual Reports for 3 (three) years preceding the year for which the Audited Annual Report is not being provided.
 - (iii) The Bidder must establish the minimum Net Worth specified in Clause 2.2.2.3, and provide details as per format at Annex-III of Appendix-IA.

2.3 **Proprietary data**

All documents and other information supplied by the Authority or submitted by a Bidder to the Authority shall remain or become the property of the Authority. Bidders are to treat all information as strictly confidential and shall not use it for any purpose other than for preparation and submission of their Bid. The Authority will not return any Bid or any information provided along therewith.

2.4 Cost of Bidding

The Bidders shall be responsible for all of the costs associated with the preparation of their BIDs and their participation in the Bidding Process. The Authority will not be responsible or in any way liable for such costs, regardless of the conduct or outcome of the Bidding Process.

2.5 Site visit and verification of information

- 2.5.1 Bidders are encouraged to submit their respective BIDs after visiting the Project site and ascertaining for themselves the site conditions, traffic, location, surroundings, climate, availability of power, water & other utilities for construction, access to site, handling and storage of materials, weather data, applicable laws and regulations, and any other matter considered relevant by them. Bidders are advised to visit the site and familiarise themselves with the Project with in the stipulated time of submission of the Bid. No extension of time is likely to be considered for submission of Bids.
- 2.5.2 It shall be deemed that by submitting a BID, the Bidder has:
 - (a) made a complete and careful examination of the Bidding Documents, Schedules annexed to EPC agreement Document;
 - (b) received all relevant information requested from the Authority;
 - (c) accepted the risk of inadequacy, error or mistake in the information provided in the Bidding Documents or furnished by or on behalf of the Authority relating to any of the matters referred to in Clause 2.5.1 above. No claim shall be admissible at any stage on this account.
 - (d) satisfied itself about all matters, things and information including matters referred to in Clause 2.5.1 hereinabove necessary and required for submitting an informed BID, execution of the Project in accordance with the Bidding Documents and performance of all of its obligations thereunder;
 - (e) acknowledged and agreed that inadequacy, lack of completeness or incorrectness of information provided in the Bidding Documents or ignorance of any of the matters referred to in Clause 2.5.1 hereinabove shall not be a basis for any claim for compensation, damages, extension of time for performance of its obligations, loss of profits etc. from the Authority, or a ground for termination of the Agreement by the Contractor;
 - (f) acknowledged that it does not have a Conflict of Interest; and
 - (g) agreed to be bound by the undertakings provided by it under and in terms hereof.
- 2.5.3 The Authority shall not be liable for any omission, mistake or error in respect of any of the above or on account of any matter or thing arising out of or concerning or relating to RFP, including any error or mistake therein or in any information or data given by the Authority.

2.6 Verification and Disqualification

2.6.1 The Authority reserves the right to verify all statements, information and documents submitted by the Bidder in response to the RFP and the Bidder shall, when so required by the Authority, make available all such information, evidence

and documents as may be necessary for such verification. Any such verification or lack of such verification, by the Authority shall not relieve the Bidder of its obligations or liabilities hereunder nor will it affect any rights of the Authority thereunder.

- 2.6.2 The Authority reserves the right to reject any BID and appropriate the BID Security if:
 - (a) at any time, a material misrepresentation is made or uncovered, or
 - (b) the Bidder does not provide, within the time specified by the Authority, the supplemental information sought by the Authority for evaluation of the BID.

Such misrepresentation/ improper response shall lead to the disqualification of the Bidder. If the Bidder is a Joint Venture, then the entire Joint Venture and each Member of the Joint Venture may be disqualified/ rejected. If such disqualification/rejection occurs after the BIDs have been opened and the lowest Bidder gets disqualified / rejected, then the Authority reserves the right to annul the Bidding Process and invites fresh BIDs.

2.6.3 In case it is found during the evaluation or at any time before signing of the Agreement or after its execution and during the period of defect liability, subsistence thereof, that one or more of the eligibility and /or qualification requirements have not been met by the Bidder, or the Bidder has made material misrepresentation or has given any materially incorrect or false information, the Bidder shall be disqualified forthwith if not yet appointed as the contractor either by issue of the LOA or entering into of the Agreement, and if the Selected Bidder has already been issued the LOA or has entered into the Agreement, as the case may be, the same shall, notwithstanding anything to the contrary contained therein or in this RFP, be liable to be terminated, by a communication in writing by the Authority to the Selected Bidder or the Contractor, as the case may be, without the Authority being liable in any manner whatsoever to the Selected Bidder or the Contractor. In such an event, the Authority shall be entitled to forfeit and appropriate the BID Security or Performance Security, as the case may be, as prejudice to any other right or remedy that may be available to Damages, without the Authority under the Bidding Documents and / or the Agreement, or otherwise.

B. DOCUMENTS

2.7 Contents of the RFP

2.7.1 This RFP comprises the Disclaimer set forth hereinabove, the contents as listed below, and will additionally include any Addenda issued in accordance with Clause 2.9.

Part –I

Invitation for BIDs

- Section 1. Introduction
- Section 2. Instructions to Bidders
- Section 3. Evaluation of BIDs
- Section 4. Fraud and Corrupt Practices
- Section 5. Pre-BID Conference
- Section 6. Miscellaneous

Appendices

IA Letter comprising the Technical BID including Annexure I to VII

- IB Letter comprising the Financial BID
- II. Bank Guarantee for BID Security
- III. Power of Attorney for signing of BID
- IV. Power of Attorney for Lead Member of Joint Venture
- V. Joint Bidding Agreement for Joint Venture

Part –II

Agreement Document with schedules

Part – III

Plans and drawings relating to the project

2.7.2 The drawings and schedules along with annexures provided by the Authority as part of the BID Documents shall be deemed to be part of this RFP.

2.8 Clarifications

2.8.1 Bidders requiring any clarification on the RFP may notify the Authority in writing by e-mail in accordance with Clause 1.2.9. They should send in their queries on or before the date mentioned in the Schedule of Bidding Process specified in Clause 1.3. The Authority shall endeavour to respond to the queries within the period specified therein, but no later than 15 (fifteen) days prior to the BID Due Date. The responses will be available in website & sent by fax or e-mail. The Authority will forward all the queries and its responses thereto, to all Bidders without identifying the source of queries.

- 2.8.2 The Authority shall endeavour to respond to the questions raised or clarifications sought by the Bidders. However, the Authority reserves the right not to respond to any question or provide any clarification, in its sole discretion, and nothing in this Clause shall be taken or read as compelling or requiring the Authority to respond to any question or to provide any clarification.
- 2.8.3 The Authority may also on its own motion, if deemed necessary, issue clarifications Bidders. clarifications interpretations & to all All & interpretations issued by the Authority shall be deemed to be part of the Bidding Documents. Verbal clarifications and information given by Authority or its employees or representatives shall not in any way or manner be binding on the Authority.

2.9 Amendment of RFP

- 2.9.1 At any time prior to the BID Due Date, the Authority may, for any reason, whether at its own initiative or in response to clarifications requested by a Bidder, modify the RFP by the issuance of Addenda.
- 2.9.2 Any Addendum/corrigendum issued hereunder will be in writing and shall be available in tender portal and will be a part of the RFP document.
- 2.9.3 In order to afford the Bidders a reasonable time for taking an Addendum into account, or for any other reason, the Authority may, in its sole discretion, extend the BID Due Date.

C. PREPARATION AND SUBMISSION OF BIDS

2.10 Format and Signing of BID

- 2.10.1 The Bidder shall provide all the information sought under this RFP. The Authority will evaluate only those BIDs that are received online in the required formats and complete in all respects and Bid Security, document fee, POA and Joint Bidding Agreement are received in hard copies.
- 2.10.2 The BID shall be typed and signed in indelible blue ink by the authorised signatory of the Bidder. All the alterations, omissions, additions or any other amendments made to the BID shall be initialled by the person(s) signing the BID.

2.11 Documents comprising Technical and Financial BID

2.11.1 The Bidder shall submit the Technical BID & Financial Bid online through eprocurement portal https://tendersodisha.gov.in comprising of the following documents along with supporting documents as appropriate:

Technical Bid

- (a) Appendix-IA (Letter comprising the Technical Bid) including Annexure I to VI and supporting certificates / documents.
- (b) Power of Attorney for signing the BID as per the format at Appendix-III;
- (c) if applicable, Power of Attorney for Lead Member of Joint Venture as per the format at Appendix-IV;
- (d) if applicable, Joint Bidding Agreement for Joint Venture as per the format at Appendix-V
- (e) BID Security in the form of Bank Guarantee in the format at Appendix-II from a Nationalised or Scheduled Bank, counter guaranteed by its branch at Bhubaneshwar in favour of Chief Executive Officer, Bhubaneswar Smart City Limited.
- (f) Cost of tender document for Rs.10,500.00 (Rupees Ten thousand and five hundred only (Rupees Ten Thousand towards cost of Tender Document and 5% of the said amount i.e. Rs. 500/- as VAT) in the form of Demand Draft in favour of Chief Executive Officer, Bhubaneswar Smart City Limited, payable at Bhubaneswar.

Financial Bid

(g) Appendix-IB (Letter comprising the Financial Bid)

2.11.2 The Bidder shall submit the following documents physically:

- (a) Original Power of Attorney for signing the BID as per format at Appendix-III;
- (b) if applicable, Original Power of Attorney for Lead Member of Joint Venture as per the format at Appendix-IV;
- (c) if applicable, Original Joint Bidding Agreement for Joint Venture as per the format at Appendix-V
- (d) BID Security only in the form of Original Bank Guarantee in the format at Appendix-II from a Nationalised or Scheduled Bank, counter guaranteed by its

branch at Bhubaneshwar in favour of Chief Executive Officer, Bhubaneswar Smart City Limited.

- (e) Original Demand Draft in favour of Chief Executive Officer, Bhubaneswar Smart City Limited, payable at Bhubaneswar towards cost of tender document.
- 2.11.3 The documents listed at clause 2.11.2 shall be placed in an envelope, which shall be sealed. The envelope shall clearly bear the identification "BID for the project : Redevelopment of Janpath Road including Streetscape Design, Beautification, Landscaping, Intersection Redesign, and Infrastructure Upgrades in Bhubaneswar's Town Centre District (ABD) under Smart Cities Mission" and shall clearly indicate the name and address of the Bidder. In addition, the BID Due Date should be indicated on the right hand top corner of the envelope.
- 2.11.4 The envelope shall be addressed to one of the following officer and shall be submitted at the respective address:

ATTN. OF: DESIGNATION ADDRESS:	Chief Executive Officer Bhubaneswar Smart City Limited, 2 nd Floor, Block -1, BMC Bhawani Mall, Saheed Nagar, Bhubaneswar – 751007
E-MAIL ADDRESS	bbsr.bscl@gmail.com

- 2.11.5 If the envelopes is not sealed and marked as instructed above, the Authority assumes no responsibility for the misplacement or premature opening of the contents of the BID submitted and consequent losses, if any, suffered by the Bidder.
- 2.11.6 BIDs submitted by fax, telex, telegram or e-mail shall not be entertained and shall be summarily rejected.

2.12 BID Due Date

Technical & Financial BID comprising of the documents listed at clause 2.11.1 of the RFP shall be submitted online through e-procurement portal https://tendersodisha.gov.in on or before 17.00 hrs IST on scheduled dates provided in this RFP. Documents listed at clause 2.11.2 of the RFP shall be physically submitted on or before 11.30 hours IST on the dates mentioned in the RFP at the address provided in Clause 2.11.4 in the manner and form as detailed in this RFP. A receipt thereof should be obtained from the person specified at Clause 2.11.4.

2.13 Late BIDs

E-procurement portal https://tendersodisha.gov.in shall not allow submission of any Bid after the prescribed date and time at clause 2.12. Physical receipt of documents listed at clause 2.11.2 of the RFP after the prescribed date and time at clause 2.12 shall not be considered and the bid shall be summarily rejected.

2.14 Procedure for e-tendering

- 2.14.1 Accessing/ Purchasing of BID documents
- 2.14.1.1 The Contractor/Bidder intending to participate in the bid is required to register in the Portal using his/her active personal/official e-mail ID as his/her Login ID and attach his/her valid Digital Signature Certificate(DSC) to his/her unique Login ID. He/ She has to submit the relevant information as asked for about the firm/Contractor. The portal registration of the bidder/firm is to be authenticated by the State Procurement Cell after verification of original valid certificates/documents such as (i) Pan Card and (ii) Registration Certificate (RC)/VAT Clearance Certificate (for procurement of goods) of the concerned bidder. The time period of validity in the portal is at par with the validity of RC/VAT Clearance. Any change of information by the bidder is to be re-authenticated by the State Procurement Cell. After successful authentication, bidder can participate in the online bidding process. The DSC used must be of appropriate class (Class II or Class III) issued from a registered Certifying Authority(CA) as stipulated by Controller of Certifying Authorities(CCA), Government of India such as n-Code, Sify, TCS, MTNL, e-Mudhra etc. & should be in the name of the authorized signatory as authorized in Appendix-III of the RFP Application.
- 2.14.1.2 (a) To log on to the portal the Contractor/Bidder is required to type his/her Login ID and password. The system will again ask to select the DSC and confirm it with the password of as a second stage of authentication. For each login, a user's DSC will be validated against its date of validity and also against the Certificate Revocation List (CRL) of respective CAs stored in system database. The system checks the unique ID, password and DSC combination and authenticates the login process for use of portal.

(b) The tender documents uploaded by the Tender Inviting Officer in the website https://.tendersodisha.gov.in will appear in "Latest Active Tender" section of the homepage. Only a small notification will be published in the newspaper specifying the work details along with mention of the specific website for details. The publication of the tender will be for specific period of time till the last date of submission of bids as mentioned in the 'Invitation for Bid' after which the same will be removed from the list of Latest Active tenders. The bidder can down load the RFP document from the web site and save it in his system and undertake the necessary preparatory work off-line and upload the completed documents at his convenience before the closing date and time of submission.

- 2.14.1.3 Deleted
- 2.14.1.4 The complete BID document can be viewed / downloaded by the Bidder from e-procurement portal of Government https://tendersodisha.gov.in.
- 2.14.1.5 To participate in bidding, bidders have to pay the cost towards tender document in the form of a Demand Draft issued from a Nationalised Bank or Scheduled Bank in India in favour of Chief Executive Officer, Bhubaneswar Smart City Limited payable at Bhubaneswar and a Bid Security is also to be furnished by the bidder for the amount mentioned in the RFP in the form of Bank Guarantee (B.G) as per the format mentioned

in Appendix-II, issued from a Nationalised or Scheduled Bank, counter-guaranteed in its Branch at Bhubaneshwar.

2.14.2 **Preparation & Submission of BIDs:**

- 2.14.2.1 The Bidder may submit his Bid online following the instruction appearing on the screen.
- 2.14.2.2 The documents listed at clause 2.11.1 shall be prepared and scanned in different files (in PDF or JPEG format such that file size is not more than 5 MB) and uploaded during the on-line submission of BID.
- 2.14.2.3 Bid must be submitted online only through e-procurement portal of State Government https://tendersodisha.gov.in using the digital signature of authorized representative of the Bidder on or before the scheduled dates mentioned in the RFP (up to 17.00 hours IST).

2.14.3 Modifications/ Substitution/ withdrawal of BIDs

2.14.3.1 In the e-Procurement Portal, it is allowed to modify the Application number of times after necessary modification, before the final date and time of submission. The Applicant shall have to log on to the system and resubmit the documents as asked for by the system. In doing so, the Application already submitted by the Applicant will be removed automatically from the system and the latest Application only will be admitted. But the Applicant should avoid modification of Application at the last moment to avoid system failure or malfunction of internet or traffic jam. If the Applicant fails to submit his modified Application within the designated time of receipt, the Application already in the system shall be taken for evaluation.

In the e-Procurement Portal, withdrawal of bid is allowed. But in such case the bidder has to write a letter containing written notice of the modification, substitution or withdrawal addressed to the Authority and upload the scanned document to portal in the respective Application before the closure of receipt of the Application. The system shall not allow any withdrawal after expiry of the closure time of the Application.

2.14.3.2 Any alteration/ modification in the Application or additional information supplied subsequent to the Application Due Date, unless the same has been expressly sought for by the Authority, shall be disregarded.

2.15 Online Opening of BIDs.

- 2.15.1 Opening of BIDs will be done through online process.
- 2.15.2 The authorized officials of Authority shall on-line open Technical BIDs on scheduled date at 11.30 hours IST, in the presence of the authorized representatives of the Bidders, who choose to attend. Technical BID of only those bidders shall be online opened whose documents as listed at clause 2.11.2 of the RFP have been physically received. The officials of Authority will subsequently examine and evaluate the BIDs in accordance with the provisions of Section 3 of RFP.

2.16 Rejection of BIDs

- 2.16.1 Notwithstanding anything contained in this RFP, the Authority reserves the right to reject any BID and to annul the Bidding Process and reject all BIDs at any time without any liability or any obligation for such acceptance, rejection or annulment, and without assigning any reasons therefor. In the event that the Authority rejects or annuls all the BIDs, it may, in its discretion, invite all eligible Bidders to submit fresh BIDs hereunder.
- 2.16.2 The Authority reserves the right not to proceed with the Bidding Process at any time, without notice or liability, and to reject any BID without assigning any reasons.

2.17 Validity of BIDs

The BIDs shall be valid for a period of not less than 180 (one hundred and eighty) days from the BID Due Date. The validity of BIDs may be extended by mutual consent of the respective Bidders and the Authority.

2.18 Confidentiality

Information relating to the examination, clarification, evaluation and recommendation for the Bidders shall not be disclosed to any person who is not officially concerned with the process or is not a retained professional advisor advising the Authority in relation to, or matters arising out of, or concerning the Bidding Process. The Authority will treat all information, submitted as part of the BID, in confidence and will require all those who have access to such material to treat the same in confidence. The Authority may not divulge any such information unless it is directed to do so by any statutory entity that has the power under law to require its disclosure or is to enforce or assert any right or privilege of the statutory entity and/ or the Authority or as may be required by law or in connection with any legal process.

2.19 Correspondence with the Bidder

Save and except as provided in this RFP, the Authority shall not entertain any correspondence with any Bidder in relation to acceptance or rejection of any BID.

D. BID SECURITY

2.20 BID Security

- 2.20.1 The Bidder shall furnish as part of its BID, a BID Security referred to in Clauses 2.1.6 and 2.1.7 hereinabove in the form of a Bank Guarantee (B.G) as per the format mentioned in Appendix-II, issued from a Nationalised or Scheduled Bank, counter-guaranteed in its Branch at Bhubaneswar in favour of the Chief Executive Officer, Bhubaneswar Smart City Limited in the format at Appendix-II (the "Bank Guarantee") and having a validity period of not less than 180 (one hundred eighty) days from the BID Due Date, inclusive of a claim period of 60 (sixty) days, and may be extended as may be mutually agreed between the Authority and the Bidder from time to time.
- 2.20.2 Any BID not accompanied by the BID Security shall be summarily rejected by the Authority as non-responsive.

- 2.20.3 The Selected Bidder's BID Security will be returned, without any interest, upon the bidder signing the Contract Agreement and furnishing the Performance Security in accordance with the provisions thereof. The Authority may, at the Selected Bidder's option, adjust the amount of BID Security in the amount of Performance Security to be provided by him in accordance with the provisions of the Agreement.
- 2.20.4 The Authority shall be entitled to forfeit and appropriate the BID Security as Damages inter alia in any of the events specified in Clause 2.20.5 herein below. The Bidder, by submitting its BID pursuant to this RFP, shall be deemed to have acknowledged and confirmed that the Authority will suffer loss and damage on account of withdrawal of its BID or for any other default by the Bidder during the period of BID validity as specified in this RFP. No relaxation of any kind on BID Security shall be given to any Bidder.
- 2.20.5 The BID Security shall be forfeited and appropriated by the Authority as damages payable to the Authority for, inter-alia, time cost and effort of the Authority without prejudice to any other right or remedy that may be available to the Authority under the bidding documents and / or under the Agreement, or otherwise, under the following conditions:
 - (a) deleted;
 - (b) If a Bidder engages in a corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practice as specified in <u>Section 4</u> of this RFP;
 - (c) If a Bidder withdraws its BID during the period of Bid validity as specified in this RFP and as extended by mutual consent of the respective Bidder(s) and the Authority;
 - (d) In the case of Selected Bidder, if it fails within the specified time limit -
 - (i) to sign and return the duplicate copy of LOA;
 - (ii) to sign the Agreement; or
 - (iii) to furnish the Performance Security within the period prescribed therefor in the Agreement; or
 - (e) In case the Selected Bidder, having signed the Agreement, commits any breach thereof prior to furnishing the Performance Security.

2.20.6 **Performance Security**

Within 10 (ten) days of signing of Contract Agreement, the successful Bidder shall furnish to the Authority a Performance Security in accordance with the provisions of the Agreement and in the format given in this RFP.

SECTION-3

EVALUATION OF TECHNICAL BIDS AND OPENING & EVALUATION OF FINANCIAL BIDS

3.1 Evaluation of Technical Bids

- 3.1.1 The Authority shall open the BIDs received physically & online on scheduled date and time at the place specified in Clause 2.11.4 (i); and in the presence of the Bidders who choose to attend. Technical BID of only those bidders shall be online opened whose documents listed at clause 2.11.2 of the RFP have been received physically. The Authority shall prepare minutes of the BID opening, including information disclosed to those present at the time of BID opening.
- 3.1.2 Technical Bids of those Bidders, who have not submitted their Bid online, shall not be considered for opening and evaluation.
- 3.1.3 If any information furnished by the Bidder is found to be incomplete, or contained in formats other than those specified herein, the Authority may, in its sole discretion, exclude the relevant information for consideration of eligibility and qualification of the Bidder.
- 3.1.4 To facilitate evaluation of Technical BIDs, the Authority may, at its sole discretion, seek clarifications in writing from any Bidder regarding its Technical BID. Such clarification(s) shall be provided within the time specified by the Authority for this purpose. Any request for clarification(s) and all clarification(s) in response thereto shall be in writing.
- 3.1.5 If a Bidder does not provide clarifications sought under Clause 3.1.4 above within the prescribed time, its Bid may be liable to be rejected. In case the Bid is not rejected, the Authority may proceed to evaluate the Bid by construing the particulars requiring clarification to the best of its understanding, and the Bidder shall be barred from subsequently questioning such interpretation of the Authority.
- 3.1.6 Tests of responsiveness
- 3.1.6.1 As a first step towards evaluation of Technical BIDs, the Authority shall determine whether each Technical BID is responsive to the requirements of this RFP. A Technical BID shall be considered responsive only if:
 - (a) Technical BID is received online as per the format at Appendix-IA including Annexure I to VI ;
 - (b) Documents listed at clause 2.11.2 are received physically;
 - (c) Technical Bid is accompanied by the BID Security as specified in Clause 2.1.6 and 2.1.7;
 - (d) Technical Bid is accompanied by the Power of Attorney as specified in Clauses 2.1.8;
 - (e) Technical Bid is accompanied by Power of Attorney for Lead Member of Joint Venture and the Joint Bidding Agreement as specified in Clause 2.1.9, if so required;
 - (f) Technical Bid contains all the information (complete in all respects);
 - (g) Technical Bid does not contain any condition or qualification; and
 - (h) Technical Bid is accompanied by cost of Bid document as per the RFP.
- 3.1.6.2 The Authority reserves the right to reject any Technical BID which is non-responsive

and no request for alteration, modification, substitution or withdrawal shall be entertained by the Authority in respect of such BID.

- 3.1.7 In the event that a Bidder claims credit for an Eligible Project, and such claim is determined by the Authority as incorrect or erroneous, the Authority may reject / correct such claim for the purpose of qualification requirements.
- 3.1.8 The Authority will get the BID security verified from the issuing authority and after due verification, the Authority will evaluate the Technical BIDs for their compliance to the eligibility and qualification requirements pursuant to clause 2.2.1 & 2.2.2 of this RFP.
- 3.1.9 The Authority shall evaluate the technical bids as per the criteria provided under section 3.1.10 below. After evaluation of Technical Bids, the Authority will publish a list of Technically qualified bidders whose financial bids shall be opened. The Authority shall notify other bidders that they have not been technically responsive. The Authority will not entertain any query or clarification from Applicants who fail to qualify.
- 3.1.10 The evaluation of technical bids shall be made based on their financial standing, technical and organizational capability and past experience and track record. The Applicants are required to submit all the necessary details including certificates from the client agencies in support of their application.

Sl. No	Attributes	Marks	Evaluation
(a)	Financial strength(i) Average annual Turnover(ii) Solvency Certificate as per clause-4.4A.iv of ITB	(35 marks) 28 marks 7 marks	 (i) <u>70%</u> marks for minimum eligibility criteria. (ii) 100% marks for twice the minimum eligibility criteria or more
(b)	Experience in Street Development project or similar works (as in Clause 2.2.2.2A) (If any bidder submits two projects of similar work for fulfilling the technical capacity, then maximum 32.5 marks shall be considered for each project). Similarly, If any bidder submits three projects of similar work for fulfilling the technical capacity, then maximum 21.667 marks shall be considered for each project).	(65 marks)	or more (iii) in between (i) & (ii) – on pro-rata basis.

CRITERIA FOR EVALUATION OF TECHNICAL BIDS

Note: Experience as mentioned in Clause 2.2.2.2 B is for only fulfilling the technical eligibility and shall not be considered for evaluation.

- 3.1.11 The bidders who qualify as per above by securing 70 marks out of 100 will be required to apprise before the Tender Committee (to be notified) and make a presentation (max. 60 slides) on the scheduled date and time (to be intimated to the qualified bidders only by post / E-mail / FAX).
- 3.1.12 The presentation will be awarded marks out of 100 (hundred). The evaluation of the presentation shall be made on following basis: -

S. No.	Subject description	Requirements	Marking
1.	Project understanding	Presentation on understanding of issues based on the site visit and project intent	10
2.	Design concept presentation	Presentation of proposed design concept, including Min. one 3D view of the sample stretch showing all proposed elements, strategy for incorporation of future BRTS corridor, material palette, cross sections showing integration of utilities.	20
3.	Design & GFC drawings-Architectural & Engineering	 Good for construction drawings for a sample stretch of around 100 m including junction and all major streetscape elements such as pedestrian lighting, urban furniture, art installations, utility integration, parking provision, table top crossings, etc. drawings should have A. Plans- 1. General arrangement, 2.site lineout/ centreline (curbs/ area delineation, kiosks, parking & all other elements), 3.utility integration plan, 4.electrical & lighting layout, 5.levelling & storm water drainage plan, 6.plantation plan with irrigation system 7. Existing plan B. Cross sections- 1. at least 5 longitudinal, 3 transverse cross sections showing integration of all utilities, foundations for kiosks, bus shelters, bollards, light poles, storm water drains, manholes, etc. C. Typical details- 1. road edge 	30

Note: The presentation shall be made by the Project Manager.

S. No.	Subject description	Requirements	Marking
		 2.light pole fixing 3.hardscaping 4.planting details 5.fixing details for some elements- bollards , cycle stand, etc. 6.edge details showing integration of existing building edges with proposed streetscape sections, 7.any other Judging criteria- Accuracy of drawings, attention to detailing, understanding of construction methodologies & techniques. 	
4	Project implementation staging and management	A detailed project schedule and/or staging using relevant software. The bidder shall present the overall plan to undertake the construction & execution of the entire project in a seamless manner with least obstruction to vehicular & pedestrian movements.	20
5	Team organisation for the project	The bidder shall provide organisation chart & key roles, responsibilities (preferred team organization provided in section 3.1.13)	20

3.1.13 Preferred Team Organisation

The bidder shall submit the CVs of the below mentioned personnel along with the technical proposal to get marks during the evaluation.

S. No.	Expertise	Qualification	Office / field visits	Relevant Experience (years)	Marks
1	Project manager	BE Civil, Masters in project management/ equivalent	Field visits	Min. 15	4
2	Landscape Architect/ Urban Designer	Masters in Landscape architecture or urban design	Office	Min. 5	2
3	Transport planner / engineer	Masters in transport planning / engineering	Office	Min. 5	2
4	Civil engineer	BE civil	Office &	Min. 10	2

S. No.	Expertise	Qualification	Office / field visits	Relevant Experience (years)	Marks
			field visits		
5	Geo- technical expert	BE Civil & masters in Geotechnical engineering	Office & field visits	Min. 5	2
6	Electrical engineer	BE electrical	Office & field visits	Min. 5	2
7	Site engineers	DCE / BE civil	Field	Min. 5	2
8	Site supervisor	Dip. In engineering/ ITI	Field	Min. 3	2
9	Quality & safety engineer	BE in civil/ mechanical	Field	Min. 5	2

Note:

- 1. If the bidders are unable to submit the CVs of any of the nine personnel as mentioned in **Clause No. 3.1.13**: **Preferred Team Organisation**, the selected bidder shall mandatorily submit the CVs for these personnel within 15 days from the issuance of the Letter of Award (LoA).
- 2. <u>The team suggested above shall be the minimum deployment to be made by the successful bidder.</u> <u>However, the bidder shall access the volume of the work and deploy additional personnel as per</u> <u>requirement at his own cost.</u>
- 3.1.14 The technical evaluation of the bids and shortlisting of bids for financial opening shall be based on combined scores obtained under section 3.1.10 and 3.1.12 calculated as per following: -
 - (i) The scores obtained under section 3.1.10 shall be given a weightage of 65% and that obtained under section 3.1.13 shall be given a weightage of 35%;
 - (ii) The combined technical evaluation score will be calculated as : -

Technical Score = 0.65 * (Score obtained as per Section 3.1.10) + 0.35 * (Score obtained as per Section 3.1.12)

(iii) The bids securing technical score (as calculated in (ii) above) of 70 and above marks will be qualified for the financial bid opening.

3.2 Opening and Evaluation of Financial Bids

The Authority shall inform the venue and time of online opening of the Financial Bids to the technically responsive Bidders through e-procurement portal. The Authority shall online open the Financial Bids on date and time to be informed in this clause in the presence of the authorised representatives of the Bidders, who may choose to attend. The Authority shall publicly announce the Bid Price quoted by the technically responsive Bidders. The Authority shall prepare a record of opening of Financial Bids.

3.3 Selection of Bidder

- 3.3.1 Subject to the provisions of Clause 2.16.1, the Bidder whose BID is adjudged as responsive in terms of Clause 3.1.6 and who quotes lowest price shall be declared as the selected Bidder (the "Selected Bidder").
- 3.3.2 In the event that two or more Bidders quote the same BID Price (the "**Tie BIDs**"), the Authority shall identify the Selected Bidder by draw of lots, which shall be conducted, with prior notice, in the presence of the Tie Bidders who choose to attend.
- 3.3.3 In the event that the Lowest Bidder is not selected for any reason, the Authority shall annul the Bidding Process and invite fresh BIDs. In the event that the Authority rejects or annuls all the BIDs, it may, in its discretion, invite all eligible Bidders to submit fresh BIDs hereunder.
- 3.3.4 After selection, a Letter of Award (the "LOA") shall be issued, in duplicate, by the Authority to the Selected Bidder and the Selected Bidder shall, within 7(seven) days of the receipt of the LOA, sign and return the duplicate copy of the LOA in acknowledgement thereof. In the event the duplicate copy of the LOA duly signed by the Selected Bidder is not received by the stipulated date, the Authority may, unless it consents to extension of time for submission thereof, appropriate the BID Security of such Bidder as Damages on account of failure of the Selected Bidder to acknowledge the LOA.

3.3.5 <u>The selected bidder shall submit the detailed Bill of Quantities (BoQ) along with the</u> <u>item rates and units, before signing of Agreement.</u>

3.3.6 After acknowledgement of the LOA as aforesaid by the Selected Bidder, it shall cause the Bidder to execute the Agreement within the period prescribed in Clause 1.3. The Selected Bidder shall not be entitled to seek any deviation, modification or amendment in the Agreement.

3.4 Contacts during BID Evaluation

BIDs shall be deemed to be under consideration immediately after they are opened and until such time the Authority makes official intimation of award/ rejection to the Bidders. While the BIDs are under consideration, Bidders and/ or their representatives or other interested parties are advised to refrain, save and except as required under the Bidding Documents, from contacting by any means, the Authority and/ or their employees/ representatives on matters related to the BIDs under consideration.

3.5 Correspondence with Bidder

Save and except as provided in this RFP, the Authority shall not entertain any correspondence with any Bidder in relation to the acceptance or rejection of any Bid.

- 3.6 Any information contained in the Bid shall not in any way be construed as binding on the Authority, its agents, successors or assigns, but shall be binding against the Bidder, if the Project is subsequently awarded to it on the basis of such information.
- 3.7 The Authority reserves the right not to proceed with the Bidding Process at any time without notice or liability and to reject any or all Bid(s) without assigning any reasons.

SECTION-4 4. FRAUD AND CORRUPT PRACTICES

- 4.1 The Bidders and their respective officers, employees, agents and advisers shall observe the highest standard of ethics during the Bidding Process and subsequent to the issue of the LOA and during the subsistence of the Agreement. Notwithstanding anything to the contrary contained herein, or in the LOA or the Agreement, the Authority may reject a BID, withdraw the LOA, or terminate the Agreement, as the case may be, without being liable in any manner whatsoever to the Bidder, if it determines that the Bidder, directly or indirectly or through an agent, engaged in corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practice in the Bidding Process. In such an event, the Authority shall be entitled to forfeit and appropriate the BID Security or Performance Security, as the case may be, as Damages, without prejudice to any other right or remedy that may be available to the Authority under the Bidding Documents and/ or the Agreement, or otherwise.
- 4.2 Without prejudice to the rights of the Authority under Clause 4.1 hereinabove and the rights and remedies which the Authority may have under the LOA or the Agreement, or otherwise if a Bidder or Contractor, as the case may be, is found by the Authority to have directly or indirectly or through an agent, engaged or indulged in any corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practice during the Bidding Process, or after the issue of the LOA or the execution of the Agreement, such Bidder shall not be eligible to participate in any tender or RFP issued by the Authority during a period of 2 (two) years from the date such Bidder, or Contractor, as the case may be, is found by the Authority to have directly or indirectly or through an agent, engaged or indulged in any corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practices, as the case may be.
- 4.3 For the purposes of this Section 4, the following terms shall have the meaning hereinafter respectively assigned to them:
 - (a) "corrupt practice" means (i) the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence the actions of any person connected with the Bidding Process (for avoidance of doubt, offering of employment to or employing or engaging in any manner whatsoever, directly or indirectly, any official of the Authority who is or has been associated in any manner, directly or indirectly, with the Bidding Process or the LOA or has dealt with matters concerning the Agreement or arising therefrom, before or after the \ execution thereof, at any time prior to the expiry of one year from the date such official resigns or retires from or otherwise ceases to be in the service of the Authority, shall be deemed to constitute influencing the actions of a person connected with the Bidding Process); or (ii) save and except as permitted under the Clause 2.2.1(d) of this RFP, engaging in any manner whatsoever, whether during the Bidding Process or after the issue of the LOA or after the execution of the Agreement, as the case may be, any person in respect of any matter relating to the Project or the LOA or the Contract Agreement, who at any time has been or is a legal, financial or technical adviser of the Authority in relation to any matter concerning the Project;
 - (b) **"fraudulent practice"** means a misrepresentation or omission of facts or suppression of facts or disclosure of incomplete facts, in order to influence the Bidding Process;
 - (c) "**coercive practice**" means impairing or harming, or threatening to impair or harm, directly or indirectly, any person or property to influence any person's participation or action in the Bidding Process;

- (d) **"undesirable practice"** means (i) establishing contact with any person connected with or employed or engaged by the Authority with the objective of canvassing, lobbying or in any manner influencing or attempting to influence the Bidding Process; or (ii) having a Conflict of Interest; and
- (e) "**restrictive practice**" means forming a cartel or arriving at any understanding or arrangement among Bidders with the objective of restricting or manipulating a full and fair competition in the Bidding Process.

SECTION-5 5. PRE-BID CONFERENCE

- 5.1 Pre-BID conference of the Bidders shall be convened at the designated date, time and place. A maximum of two representatives of prospective Bidders shall be allowed to participate on production of authority letter from the Bidder.
- 5.2 During the course of Pre-Bid conference(s), the Bidders will be free to seek clarifications and make suggestions for consideration of the Authority. The Authority shall endeavour to provide clarifications and such further information as it may, in its sole discretion, consider appropriate for facilitating a fair, transparent and competitive Bidding Process.

PRE-BID CONFERENCE PLACE & TIME

Conference Hall, Bhubaneswar Smart City Limited, 2nd Floor, Block – 1, BMC Bhawani Mall, Saheed Nagar, Bhubaneswar - 751007

SECTION-6 MISCELLANEOUS

- 6.1 The Bidding Process shall be governed by, and construed in accordance with, the laws of India/Odisha and the Courts at Bhubaneswar shall have exclusive jurisdiction over all disputes arising under, pursuant to and/ or in connection with the Bidding Process.
- 6.2 The Authority, in its sole discretion and without incurring any obligation or liability, reserves the right, at any time, to;
 - (a) suspend and/ or cancel the Bidding Process and/ or amend and/ or supplement the Bidding Process or modify the dates or other terms and conditions relating thereto;
 - (b) consult with any Bidder in order to receive clarification or further information;
 - (c) retain any information and/ or evidence submitted to the Authority by, on behalf of, and/ or in relation to any Bidder; and/ or
 - (d) independently verify, disqualify, reject and/ or accept any and all submissions or other information and/ or evidence submitted by or on behalf of any Bidder.
- 6.3 It shall be deemed that by submitting the Bid, the Bidder agrees and releases the Authority, its employees, agents and advisers, irrevocably, unconditionally, fully and finally from any and all liability for claims, losses, damages, costs, expenses or liabilities in any way related to or arising from the exercise of any rights and/ or performance of any obligations hereunder, pursuant hereto and/ or in connection with the Bidding Process and waives, to the fullest extent permitted by applicable laws, any and all rights and/ or claims it may have in this respect, whether actual or contingent, whether present or in future.

APPENDIX IA LETTER COMPRISING THE TECHNICAL BID

(Refer Clause 2.1.5, 2.11 and 3.1.6)

То

Chief Executive Officer, Bhubanes war Smart City Limited, 2nd Floor, Block – 1, BMC Bhawani Mall, Saheed Nagar, Bhubanes war – 751 007

Sub: BID for Redevelopment of Janpath Road including Streetscape Design, Beautification, Landscaping, Intersection Redesign, and Infrastructure Upgrades in Bhubaneswar's Town Centre District (ABD) under Smart Cities Mission through an Engineering, Procurement and Construction (EPC) Contract.

Dear Sir,

With reference to your RFP document dated 19/01/17, I/we, having examined the Bidding Documents and understood their contents, hereby submit my/our BID for the aforesaid Project. The BID is unconditional and unqualified.

- 2. I/ We acknowledge that the Authority will be relying on the information provided in the BID and the documents accompanying the BID for selection of the Contractor for the aforesaid Project, and we certify that all information provided in the Bid and its Annexure I to VI along with the supporting documents are true and correct; nothing has been omitted which renders such information misleading; and all documents accompanying the BID are true copies of their respective originals.
- 3. This statement is made for the express purpose of our selection as EPC Contractor for the development, construction, rehabilitation and augmentation of the aforesaid Project and maintenance of the Project during the Defect Liability Period.
- 4. I/ We shall make available to the Authority any additional information it may find necessary or require to supplement or authenticate the BID.
- 5. I/ We acknowledge the right of the Authority to reject our BID without assigning any reason or otherwise and hereby waive, to the fullest extent permitted by applicable law, our right to challenge the same on any account whatsoever.
- 6. I/ We certify that in the last three years, we/ any of the JV partners have neither failed to perform on any contract, as evidenced by imposition of a penalty by an arbitral or judicial authority or a judicial pronouncement or arbitration award, nor been expelled from any project or contract by any public authority nor have had any contract terminated by any public authority for breach on our part.
- 7. I/ We declare that:
 - (a) I/ We have examined and have no reservations to the Bidding Documents, including any Addendum issued by the Authority; and
 - (b) I/We do not have any conflict of interest in accordance with Clauses 2.2.1 (c) and 2.2.1 (d) of the RFP document; and

- (c) I/We have not directly or indirectly or through an agent engaged or indulged in any corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practice, as defined in Clause 4.3 of the RFP document, in respect of any tender or request for proposal issued by or any Agreement entered into with the Authority or any other public sector enterprise or any government, Central or State; and
- (d) I/ We hereby certify that we have taken steps to ensure that in conformity with the provisions of Section 4 of the RFP, no person acting for us or on our behalf has engaged or will engage in any corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practice; and
- (e) the undertakings given by us along with the Application in response to the RFP for the Project and information mentioned for the evaluation of the BID Capacity in Annexure VI were true and correct as on the date of making the Application and are also true and correct as on the BID Due Date and I/we shall continue to abide by them.
- 8. I/ We understand that you may cancel the Bidding Process at any time and that you are neither bound to accept any BID that you may receive nor to invite the Bidders to BID for the Project, without incurring any liability to the Bidders, in accordance with Clause 2.16.2 of the RFP document.
- 9. I/We believe that we/our Joint Venture satisfy(s) the Threshold Technical Capacity, Net Worth criteria and meet(s) the requirements as specified in the RFP document.
- 10. I/ We declare that we/ any Member of the Joint Venture or our/Joint Venture member are not a Member of any other Joint Venture submitting a BID for the Project.
- 11. I/ We certify that in regard to matters other than security and integrity of the country, we/ any Member of the Joint Venture or any of our/their Joint venture member have not been convicted by a Court of Law or indicted or adverse orders passed by a regulatory authority which could cast a doubt on our ability to undertake the Project or which relates to a grave offence that outrages the moral sense of the community.
- 12. I/ We further certify that in regard to matters relating to security and integrity of the country, we/ any Member of the Joint Venture or any of our/their Joint venture members have not been charge-sheeted by any agency of the Government or convicted by a Court of Law.
- 13. I/ We further certify that no investigation by a regulatory authority is pending either against us/any member of Joint Venture or against our CEO or any of our directors/ managers/ employees.
- 14. Deleted
- 15. I/ We undertake that in case due to any change in facts or circumstances during the Bidding Process, we are attracted by the provisions of disqualification in terms of the guidelines referred to above, we shall intimate the Authority of the same immediately.
- 16. I/We further acknowledge and agree that in the event such change in control occurs after signing of the Agreement up to its validity. It would, not withstanding anything to the contrary contained in the Agreement, be deemed a breach thereof, and the Agreement shall be liable to be terminated without the Authority being liable to us in

any manner whatsoever.

- 17. I/ We hereby irrevocably waive any right or remedy which we may have at any stage at law or howsoever otherwise arising to challenge or question any decision taken by the Authority in connection with the selection of the Bidder, or in connection with the Bidding Process itself, in respect of the above mentioned Project and the terms and implementation thereof.
- 18. In the event of my/ our being declared as the Selected Bidder, I/we agree to enter into a Agreement in accordance with the draft that has been provided to me/us prior to the BID Due Date. We agree not to seek any changes in the aforesaid draft and agree to abide by the same.
- 19. I/ We have studied all the Bidding Documents carefully and also surveyed the [project highway and the traffic]. We understand that except to the extent as expressly set forth in the Agreement, we shall have no claim, right or title arising out of any documents or information provided to us by the Authority or in respect of any matter arising out of or relating to the Bidding Process including the award of Agreement.
- 20. I/ We offer a BID Security of <u>Rs. 80.47 lakhs [Rupees Eighty lakhs and forty seven thousand Only]</u> to the Authority in accordance with the RFP Document.
- 21. The BID Security in the form of a Bank Guarantee is attached.
- 22. The documents accompanying the Technical BID, as specified in Clause 2.11.1 of the RFP, have been submitted in separate files.
- 23. I/ We agree and understand that the BID is subject to the provisions of the Bidding Documents. In no case, I/we shall have any claim or right of whatsoever nature if the Project / Contract is not awarded to me/us or our BID is not opened or rejected.
- 24. The BID Price has been quoted by me/us after taking into consideration all the terms and conditions stated in the RFP, draft Agreement, our own estimates of costs and after a careful assessment of the site and all own the conditions that may affect the project cost and implementation of the project.
- 25. I/ We agree and undertake to abide by all the terms and conditions of the RFP document.
- 26. {We, the Joint Venture agree and undertake to be jointly and severally liable for all the obligations of the EPC Contractor under the Contract Agreement}.
- 27. I/ We shall keep this offer valid for 180 (one hundred and eighty) days from the BID Due Date specified in the RFP.
- 28 I/ We hereby submit our BID and offer a BID Price as indicated in Financial Bid for undertaking the aforesaid Project in accordance with the Bidding Documents and the Agreement.
- 29 If selected, I/We shall submit the detailed BoQ on or before signing of Agreement.

In witness thereof, I/we submit this BID under and in accordance with the terms of the RFP document.

Yours faithfully,

(Signature, name and designation of the Authorised signatory)

Date: Place:

Name & seal of Bidder/Lead Member

Appendix IA Annex-I

ANNEX-I Details of Bidder

- 1. (a) Name:
 - (b) Country of incorporation:
 - (c) Address of the corporate headquarters and its branch office(s), if any, in India:
 - (d) Date of incorporation and/ or commencement of business:
- 2. Brief description of the Bidder including details of its main lines of business and proposed role and responsibilities in this Project:
- 3. Details of individual(s) who will serve as the point of contact/ communication for the Authority:
 - (a) Name:
 - (b) Designation:
 - (c) Company:
 - (d) Address:
 - (e) Telephone Number:
 - (f) E-Mail Address:
 - (g) Fax Number:
- 4. Particulars of the Authorised Signatory of the Bidder:
 - (a) Name:
 - (b) Designation:
 - (c) Address:
 - (d) Phone Number:
 - (e) Fax Number:
 - (f) Class III Digital Signature Certificate ID number
- 5. In case of a Joint Venture:
 - (a) The information above (1-4) should be provided for all the Members of the Joint Venture.
 - (b) A copy of the Jt. Bidding Agreement, as envisaged in Clause 2.1.15(g) should be attached to the Application.
 - (c) Information regarding the role of each Member should be provided as per table below:

Sl. No.	Name of Member	Role* {Refer Clause 2.1.15(d)}\$	Share of work in the Project{Refer Clauses 2.1.15(a), (f) & (h)}
1.			
2.			

^s All provisions contained in curly parenthesis shall be suitably modified by the Applicant to reflect the particulars relating to such Applicant.

3.		

(d) The following information shall also be provided w.r.t para 2.1.18 for each Member of the Joint Venture:

No.	Criteria	yes	No
1.	Has the Bidder/ constituent of the Joint Venture been barred by the Central/ State Government, or any entity controlled by it, from participating in any project (BOT, EPC or otherwise).		
2	If the answer to 1 is yes, does the bar subsist as on BID due date.		
3	Has the Bidder/ constituent of the Joint Venture paid liquidated damages of more than 5% of the contract value in a contract due to delay or has been penalised due to any other reason in relation to execution of a contract, in the last three years?		

Name of Applicant/ member of Joint Venture:

6. A statement by the Bidder and each of the Members of its Joint Venture (where applicable) disclosing material non-performance or contractual non-compliance in past projects, contractual disputes and litigation/ arbitration in the recent past is given below (Attach extra sheets, if necessary) w.r.t para 2.1.19:

Name of Bidder/	member of Joint	Venture:
-----------------	-----------------	----------

No.	Criteria	1 st last year	2 nd last year	3 rd last year
1.	If bidder have either failed to perform on any contract, as evidenced by imposition of a penalty by an arbitral or judicial authority or a judicial pronouncement or arbitration award against the Bidder or any of its Joint Venture Member, as the case may be, or has been expelled from any project or contract by any public entity nor have had any contract terminated by any public entity for breach by such Bidder or any of its Joint Venture Member.			
2.	Updated details of stage of litigation, if so, against the Authority / Governments on all the on-going projects of the bidder or any of its Joint Venture Member.			
3.	Updated details of on-going process of blacklisting if so, under any contract with Authority / Government on the Bidder including any of its Joint Venture member.			

Appendix IA Annex-II

ANNEX-II Technical Capacity of the Bidder

(Refer to Clauses 2.2.2.2 and 2.2.2.7 of the RFP)

1. Work performed as prime contractor (in the same name) on project works over the last seven financial years. **

Project Name	Name of the Employer*	Description of work	Contract No.	Value of contract (Rs. Lakhs)	Date of issue of work order	Stipulated period of completion	Actual date of completion*	Remarks explaining reasons for delay and work completed
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)

*Attach certificate (s) from the Engineer(s)-in-Charge ** Immediately preceding the financial year in which bids are received.

NOTE: In case of a Joint Venture, information in Annex-II shall be provided separately for other Members.

2. List of equipment required as per the RFP conditions under 2.2.2.5 and for evaluation purposes under 3.1.10

Item of	1 2 1		0	Remarks (From	
Equipment	No.	Nos. / Capacity	Owned / Leased / to be procured	Condition	whom to be purchased

3. Qualifications and experience of key personnel proposed for administration and execution of the Contract. Attach curriculum vitae.

Position	Name	Qualific ations	Years of experience(general)	Years of experience in the proposed position
Project Manager				

etc.

4. Proposed subcontracts and firms involved. [Refer ITB Clause 4.2 (j)]

Sections	Value of	Sub-contractor	Experience in
of the works	Sub-contract	(name and address)	similar work

5. Quantitative Evaluation of works performed in the last 7 years (as per section 2.2.2.2 B)

S. No.	Category of work (as per section 2.2.2.2 (B))	Quantity of work satisfying the minimum requirements	Details of evidences / proofs attached with page reference.
			(sample of certificate to be provided from statutory auditor is provided at Annex – II(A))

Annex – II (A)

I. Certificate from the Statutory Auditor regarding projects undertaken $^{\phi}$

.....

.....

Name of the audit firm: Seal of the audit firm: Date:

(Signature, name and designation of the authorised signatory)

 Φ Provide Certificate as per this format only. Attach Explanatory Notes to the Certificate, if necessary. Statutory auditor means the entity that audits and certifies the annual accounts of the company.

 $[\]stackrel{e}{\cdot}$ Refer instruction no. 10 in this Annex-IV.

II. Certificate from the Statutory Auditor/ Client regarding construction works Φ

.....

It is further certified that the receipts indicated above are restricted to the share of the Applicant who undertook these works as a partner or a member of joint venture/consortium.

We further certify that applicant has a share of ____% in the Joint Venture/Consortium.

Name of the audit firm: Seal of the audit firm: Date:

(Signature, name and designation of the authorised signatory)

[•] Provide Certificate as per this format only. Attach Explanatory Notes to the Certificate, if necessary. Statutory auditor means the entity that audits and certifies the annual accounts of the company.

^{*} This certification should be strike out in case of jobs/ contracts, which are executed a sole firm. The payments indicated in the certificate should be restricted to the share of Applicant in such partnership/ joint venture. This portion may be omitted if the contract did not involve a partnership/ joint venture. In case where work is not executed by partnership/ joint venture, this paragraph may be deleted.

Appendix IA Annex-III

ANNEX-III

Financial Capacity of the Bidder

(*Refer to Clauses 2.2.2.3, 2.2.2.9(i), 2.2.2.8(iii) of the RFP*) (**In Rs. crore**^{\$})

1. Details of Cash Accruals

Bidder type	Net	Cash Accrua	ls
	Year 1	Year 2	Year 3
Single entity Bidder or Lead Member including other members of the Joint			
Venture			
TOTAL			

2. Details of Networth

Bidder type	Net worth
Single entity Bidder or Lead Member including other members of	
the Joint Venture	
TOTAL	

3. Details of Turn over

Bidder type	Annual Turnover				
	Year 1	Year 2	Year 3		
Single entity Bidder or Lead Member					
including other members of the Joint					
Venture					
TOTAL					

Name & address of Bidder's Bankers:

[£]The Bidder should provide details of its own Financial Capacity. **Instructions:**

- 1. The Bidder shall attach copies of the balance sheets, financial statements and Annual Reports for 3 (three) years preceding the Bid Due Date. The financial statements shall:
 - (a) reflect the financial situation of the Bidder;
 - (b) be audited by a statutory auditor;
 - (c) be complete, including all notes to the financial statements; and
 - (d) correspond to accounting periods already completed and audited (no statements for partial periods shall be requested or accepted).
- 2. Net Cash Accruals shall mean Profit After Tax + Depreciation.
- 3. Net Worth shall mean (Subscribed and Paid-up Equity + Reserves) less (Revaluation reserves + miscellaneous expenditure not written off + reserves not available for distribution to equity shareholders).

- 4. Year 1 will be the latest completed financial year, preceding the bidding. Year 2 shall be the year immediately preceding Year 1 and so on. In case the Bid Due Date falls within 3 (three) months of the close of the latest financial year, refer to Clause 2.1.17.
- 5. In the case of a Joint Venture, a copy of the Jt. Bidding Agreement shall be submitted in accordance with Clause 2.1.15 (g) of the RFP document.
- 6. The Bidder shall also provide the name and address of the Bankers of the Bidder.
- 7. The Bidder shall provide an Auditor's Certificate specifying the net worth of the Bidder and also specifying the methodology adopted for calculating such net worth in accordance with Clause 2.2.2.9 (ii) of the RFP document.
- 8. The bidders shall also provide all certificates and documents required under section 2.2.2.3 of the RFP document.

Appendix IA Annex-IV

ANNEX-IV

DELETED

Appendix IA Annex-V

ANNEX-V Statement of Legal Capacity

(To be forwarded on the letterhead of the Applicant/Lead Member of Joint Venture)

Ref.

Date:

Dear Sir,

We hereby confirm that we/ our members in the Joint Venture (constitution of which has been described in the application) satisfy the terms and conditions laid out in the RFP document.

We have agreed that (insert member's name) will act as the Lead Member of our Joint Venture.*

We have agreed that (insert individual's name) will act as our representative/ will act as the representative of the Joint Venture on its behalf* and has been duly authorized to submit the RFP. Further, the authorised signatory is vested with requisite powers to furnish such letter and authenticate the same.

Thanking you,

Yours faithfully,

(Signature, name and designation of the authorised signatory)

For and on behalf of.....

*Please strike out whichever is not applicable.

Appendix - IA Annexure-VI

<u>ANNEX-VI</u> <u>Information required to evaluate the BID Capacity under clause 2.2.2.1</u>

To calculate the value of "A"

- 1. A table containing value of Civil Engineering Works with respect to projects (Turnkey projects / Item rate contract/ % rate contract/Construction works) undertaken by the Bidder during the last 5 years is as follows:
- 2.

Sl.	Year	Value of Civil Engg. Works undertaken w.r.t.Projects
No.		(Turnkey projects / Item rate contract/% rate
		contract/Construction works)
		(Rs. In Crores)
1	2015-16	
2	2014-15	
3	2013-14	
4	2012-13	
5	2011-12	

3. Maximum value of projects that have been undertaken during the F.Y. _____ out of the last 5 years and value thereof is Rs.____ Crores (Rupees______). Further, value updated to the price level of the year indicated in Appendix is as follows:

Rs	Crores x	_(Updation Factor as per Appendix) = Rs	Crores
(Rupees)	

Signature, name and designation of	Name of the Statutory Auditor's firm:
Authorised Signatory	Seal of the audit firm: (Signature, name and
	Name of the Statutory Auditor's firm: Seal of the audit firm: (Signature, name and designation and Membership No. of authorised
For and on behalf of	signatory)
of the Bidder)	

SI.	Name of	Percentage of	Dater of start /	Value of	Value of	Balance	Anticipated	Balance value
No.	Project/Work	participation	appointed date of	contract as per	work	value of	date of	of work at
		of Bidder in	project	Agreement	completed	work to be	completion	2014-15 price
		the project		/LOA		completed		level
				Rs. in Crore	Rs.in	Rs.in		Rs. in Crore
					Crore	Crore		
1	2	3	4	5	6	7=(5-6)	8	9 (3x 7 x #)

To calculate the value of "B"

A table containing value of all the existing commitments and on-going workings to be completed during the next _____ years is as follows:

For Year	F.Y.	Up dation Factor
1	2015-16	1.00
2	2014-15	1.05
3	2013-14	1.10
4	2012-13	1.15
5	2011-12	1.20

Updation Factor as given below:

The Statement showing the value of all existing commitments and ongoing works as well as the stipulated period of completion remaining for each of the works mentioned above is verified from the certificate issued that has been countersigned by the Client or its Engineer-in-charge not below the rank of Executive Engineer or equivalent in respect of said Projects **or** Concessionaire / Authorised Signatory of SPV in respect of BOT Projects. No awarded / ongoing works has been left in the aforesaid statement which has been awarded to M/s......individually / and other member M/s and M/s, as on bid due date of this RFP.

Signature, name and designation of Authorised Signatory

Name of the Statutory Auditor's firm: Seal of the audit firm: (Signature, name and designation and Membership No. of authorised signatory)

Date:

Place

APPENDIX - IB Letter comprising the Financial BID (*Refer Clauses 2.1.5, 2.11.1 and 3.1.6*)

Dated:

То

Chief Executive Officer, Bhubaneswar Smart City Limited, 2nd Floor, Block – 1, BMC Bhawani Mall, Saheed Nagar, Bhubaneswar – 751 007

Sub: BID for Redevelopment of Janpath Road including Streetscape Design, Beautification, Landscaping, Intersection Redesign, and Infrastructure Upgrades in Bhubaneswar's Town Centre District (ABD) under Smart Cities Mission through an Engineering, Procurement and Construction (EPC) Contract.

Dear Sir,

With reference to your RFP document dated *** **^{\$}, I/we, having examined the Bidding Documents and understood their contents, hereby submit my/our BID for the aforesaid Project. The BID is unconditional and unqualified.

- 2. I/ We acknowledge that the Authority will be relying on the information provided in the BID and the documents accompanying the BID for selection of the Contractor for the aforesaid Project, and we certify that all information provided in the Bid are true and correct; nothing has been omitted which renders such information misleading; and all documents accompanying the BID are true copies of their respective originals.
- 3. The BID Price has been quoted by me/us after taking into consideration all the terms and conditions stated in the RFP, draft Agreement, our own estimates of costs and after a careful assessment of the site and all own the conditions that may affect the project cost and implementation of the project.
- 4. I/ We acknowledge the right of the Authority to reject our BID without assigning any reason or otherwise and hereby waive, to the fullest extent permitted by applicable law, our right to challenge the same on any account whatsoever.
- 5. In the event of my/ our being declared as the Selected Bidder, I/we agree to enter into a Agreement in accordance with the draft that has been provided to me/us prior to the BID Due Date. We agree not to seek any changes in the aforesaid draft and agree to abide by the same.
- 6. I/ We shall keep this offer valid for 180 (one hundred and eighty) days from the BID Due Date specified in the RFP.
- 7. I/ We hereby submit our BID and offer a BID Price Rs.....in words) which shall be inclusive of taxes like, CST, entry tax, VAT (WCT), service tax (if applicable) for undertaking the aforesaid Project in accordance with the Bidding Documents and the Agreement.

Yours faithfully,

Date: Place: (Signature, name and designation of the Authorised Signatory) Name & seal of Bidder:..... Class III DSC ID of Authorised Signatory :.....

Note: The bidder has to quote his "Bid Price" in Financial Bid which is in separate sheet in EXCEL FORMAT provided at <u>www.tendersodisha.gov.in</u>

APPENDIX - II Bank Guarantee for BID Security (Refer Clauses 2.1.6 and 2.20.1)

B.G. No.

Dated:

- In consideration of you, *** **, having its office at *** **, (hereinafter referred to as the 1. "Authority", which expression shall unless it be repugnant to the subject or context thereof include its, successors and assigns) having agreed to receive the BID of and having its registered office at (and acting on behalf of its JV) (hereinafter referred to as the "Bidder" which expression shall unless it be repugnant to the subject or context thereof include its/their executors, administrators, successors and assigns), for the *** ** Project on [EPC] basis (hereinafter referred to as "the Project") pursuant to the RFP Document dated issued in respect of the Project and other related documents including without limitation the draft contract Agreement (hereinafter collectively referred to as "Bidding Documents"), we (Name of the Bank) having our registered office and one of its branches at at (hereinafter referred to as the "Bank"), at the request of the Bidder, do hereby in terms of Clause 2.1.6 read with Clause 2.1.7 of the RFP irrevocably, unconditionally and without reservation guarantee Document, the due and faithful fulfilment and compliance of the terms and conditions of the Bidding Documents (including the RFP Document) by the said Bidder and unconditionally and irrevocably undertake to pay forthwith to the Authority an amount of Rs. *** ** (Rupees *** ** only) (hereinafter referred to as the "Guarantee") as our primary obligation without any demur, reservation, recourse, contest or protest and without reference to the Bidder if the Bidder shall fail to fulfil or comply with all or any of the terms and conditions contained in the said Bidding Documents.
- 2. Any such written demand made by the Authority stating that the Bidder is in default of the due and faithful fulfilment and compliance with the terms and conditions contained in the Bidding Documents shall be final, conclusive and binding on the Bank.
- 3. We, the Bank, do hereby unconditionally undertake to pay the amounts due and payable under this Guarantee without any demur, reservation, recourse, contest or protest and without any reference to the Bidder or any other person and irrespective of whether the claim of the Authority is disputed by the Bidder or not, merely on the first demand from the Authority stating that the amount claimed is due to the Authority by reason of failure of the Bidder to fulfil and comply with the terms and conditions contained in the Bidding Documents including failure of the said Bidder to keep its BID open during the BID validity period as set forth in the said Bidding Documents for any reason whatsoever. Any such demand made on the Bank shall be conclusive as regards amount due and payable by the Bank under this Guarantee. However, our liability under this Guarantee shall be restricted to an amount not exceeding Rs. *** (Rupees *** ** only).
- 4. This Guarantee shall be irrevocable and remain in full force for a period of 180 (one

hundred and eighty) days from the BID Due Date inclusive of a claim period of 60 (sixty) days or for such extended period as may be mutually agreed between the Authority and the Bidder, and agreed to by the Bank, and shall continue to be enforceable till all amounts under this Guarantee have been paid.

- 5. We, the Bank, further agree that the Authority shall be the sole judge to decide as to whether the Bidder is in default of due and faithful fulfilment and compliance with the terms and conditions contained in the Bidding Documents including, *inter alia*, the failure of the Bidder to keep its BID open during the BID validity period set forth in the said Bidding Documents, and the decision of the Authority that the Bidder is in default as aforesaid shall be final and binding on us, notwithstanding any differences between the Authority and the Bidder or any dispute pending before any Court, Tribunal, Arbitrator or any other Authority.
- 6. The Guarantee shall not be affected by any change in the constitution or winding up of the Bidder or the Bank or any absorption, merger or amalgamation of the Bidder or the Bank with any other person.
- 7. In order to give full effect to this Guarantee, the Authority shall be entitled to treat the Bank as the principal debtor. The Authority shall have the fullest liberty without affecting in any way the liability of the Bank under this Guarantee from time to time to vary any of the terms and conditions contained in the said Bidding Documents or to extend time for submission of the BIDs or the BID validity period or the period for conveying acceptance of Letter of Award by the Bidder or the period for fulfilment and compliance with all or any of the terms and conditions contained in the said Bidding Documents by the said Bidder or to postpone for any time and from time to time any of the powers exercisable by it against the said Bidder and either to enforce or forbear from enforcing any of the terms and conditions contained in the said Bidding Documents or the securities available to the Authority, and the Bank shall not be released from its liability under these presents by any exercise by the Authority of the liberty with reference to the matters aforesaid or by reason of time being given to the said Bidder or any other forbearance, act or omission on the part of the Authority or any indulgence by the Authority to the said Bidder or by any change in the constitution of the Authority or its absorption, merger or amalgamation with any other person or any other matter or thing whatsoever which under the law relating to sureties would but for this provision have the effect of releasing the Bank from its such liability.
- 8. Any notice by way of request, demand or otherwise hereunder shall be sufficiently given or made if addressed to the Bank and sent by courier or by registered mail to the Bank at the address set forth herein.
- 9. We undertake to make the payment on receipt of your notice of claim on us addressed to **our branch at Bhubaneshwar ([address of Bhubaneshwar Branch]** and delivered at our above branch which shall be deemed to have been duly authorised to receive the said notice of claim & make the payment.
- 10. It shall not be necessary for the Authority to proceed against the said Bidder before proceeding against the Bank and the guarantee herein contained shall be enforceable against the Bank, notwithstanding any other security which the Authority may have obtained from the said Bidder or any other person and which shall, at the time when proceedings are taken against the Bank hereunder, be

outstanding or unrealised.

- 11. We, the Bank, further undertake not to revoke this Guarantee during its currency except with the previous express consent of the Authority in writing.
- 12. The Bank declares that it has power to issue this Guarantee and discharge the obligations contemplated herein, the undersigned is duly authorised and has full power to execute this Guarantee for and on behalf of the Bank.
- 13. For the avoidance of doubt, the Bank's liability under this Guarantee shall be restricted to Rs. *** crore (Rupees *** ** crore only). The Bank shall be liable to pay the said amount or any part thereof only if the Authority serves a written claim on the Bank in accordance with paragraph 9 hereof, on or before [*** (indicate date falling 180 days after the BID Due Date)].

Signed and Delivered by Bank

By the hand of Mr./Ms, its and authorised official.

(Signature of the Authorised Signatory) (Official-Seal)

APPENDIX-III Format for Power of Attorney for signing of BID (Refer Clause 2.1.8)

Know all men by these presents, We..... (name of the firm and address of the registered office) do hereby irrevocably constitute, nominate, appoint son/daughter/wife and authorise Mr/ Ms (name). of employed with us/ the Lead Member of our Joint Venture and holding the position of, as our true and lawful attorney (hereinafter referred to as the "Attorney") to do in our name and on our behalf, all such acts, deeds and things as are necessary or required in connection with or incidental to submission of our BID for the ***** Project proposed or being developed by the ***** (the "Authority") including but not limited to signing and submission of all applications, BIDs and other documents and writings, participate in Pre-BID and other conferences and providing information/ responses to the Authority, representing us in all matters before the Authority, signing and execution of all contracts including the agreement and undertakings consequent to acceptance of our BID, and generally dealing with the Authority in all matters in connection with or relating to or arising out of our BID for the said Project and/ or upon award thereof to us and/or untill the entering into of the EPC Contract with the Authority.

AND we hereby agree to ratify and confirm and do hereby ratify and confirm all acts, deeds and things done or caused to be done by our said Attorney pursuant to and in exercise of the powers conferred by this Power of Attorney and that all acts, deeds and things done by our said Attorney in exercise of the powers hereby conferred shall and shall always be deemed to have been done by us.

IN WITNESS WHEREOF WE,, THE ABOVE NAMED PRINCIPAL HAVE EXECUTED THIS POWER OF ATTORNEY ON THIS DAY OF 2....

For

(Signature, name, designation and address) of person authorized by Board Resolution (in case of Firm/ Company)/ partner in case of Partnership firm

Witnesses:

1. 2.

Accepted

.....

(Signature)

(Name, Title and Address of the Attorney)

(Notarised) Person identified by me/ personally appeared before me/ Attested/Authenticated* (*Notary to specify as applicable) (Signature Name and Address of the Notary)

> Seal of the Notary Registration No. of the Notary Date:....

Appendix-III contd...

Page 2

Notes:

- The mode of execution of the Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and when it is so required, the same should be under common seal affixed in accordance with the required procedure.
- Wherever required, the Bidder should submit for verification the extract of the charter documents and documents such as a board or shareholders' resolution/power of attorney in favour of the person executing this Power of Attorney for the delegation of power hereunder on behalf of the Bidder.
- Deleted.

APPENDIX-IV

Format for Power of Attorney for Lead Member of Joint Venture

Whereas the ***** ("the Authority") has invited BIDs from prequalified applicants for the ***** Project (the "Project").

Whereas,, and, (collectively the "Joint Venture") being Members of the Joint Venture are interested in bidding for the Project in accordance with the terms and conditions of the Request for Proposal (RFP) and other BID documents including agreement in respect of the Project, and

Whereas, it is necessary for the Members of the Joint Venture to designate one of them as the Lead Member with all necessary power and authority to do for and on behalf of the Joint Venture, all acts, deeds and things as may be necessary in connection with the Joint Venture's BID for the Project and its execution.

NOW THEREFORE KNOW ALL MEN BY THESE PRESENTS

We, having our registered office at, M/s. having our registered office at ..., M/s. ... having our registered office at, and having our registered office at (hereinafter collectively referred to as the "Principals") do hereby irrevocably designate, nominate, constitute, appoint and authorize M/S having its registered office at being one of the Members of the Joint Venture, as the Lead Member and true and lawful attorney of the Joint Venture (hereinafter referred to as the "Attorney"). We hereby irrevocably authorize the Attorney (with power to sub-delegate) to conduct all business for and on behalf of the Joint Venture and any one of us during the bidding process and, in the event the Joint Venture is awarded the contract, during the execution of the Project and in this regard, to do on our behalf and on behalf of the Joint Venture, all or any of such acts, deeds or things as are necessary or required or incidental to the pre-qualification of the Joint Venture and submission of its BID for the Project, including but not limited to signing and submission of all applications, BIDs and other documents and writings, participate in pre-BID and other conferences, respond to queries, submit information/ documents, sign and execute contracts and undertakings consequent to acceptance of the BID of the Joint Venture and generally to represent the Joint Venture in all its dealings with the Authority, and/ or any other Government Agency or any person, in all matters in connection with or relating to or arising out of the Joint Venture's BID for the in all respect Project and/ or upon award thereof till the EPC Contract is entered into with the Authority & Compelled.

AND hereby agree to ratify and confirm and do hereby ratify and confirm all acts, deeds and things done or caused to be done by our said Attorney pursuant to and in exercise of the powers conferred by this Power of Attorney and that all acts, deeds and things done by our said Attorney in exercise of the powers hereby conferred shall and shall always be deemed to have been done by us/ Joint Venture.

IN WITNESS WHEREOF WE THE PRINCIPALS ABOVE NAMED HAVE EXECUTED THIS POWER OF ATTORNEY ON THIS DAY OF 2.....

For	For	For
(Signature)	(Signature)	(Signature)
(Name & Title)	(Name & Title)	(Name & Title)

(Executants) (To be executed by all the Members of the Joint Venture)

Witnesses:

1. 2.

2.

Notes:

- The mode of execution of the Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and when it is so required, the same should be under common seal affixed in accordance with the required procedure.
- Also, wherever required, the Bidder should submit for verification the extract of the charter documents and documents such as a board or shareholders' resolution/power of attorney in favour of the person executing this Power of Attorney for the delegation of power hereunder on behalf of the Bidder.
- Deleted.

APPENDIX V

FORMAT FOR JOINT BIDDING AGREEMENT FOR JOINT VENTURE

(REFER CLAUSE 2.1.9 & 2.1.15(G))

(TO BE EXECUTED ON STAMP PAPER OF APPROPRIATE VALUE) THIS JOINT BIDDING AGREEMENT IS ENTERED INTO ON THIS THE

DAY OF 20...

AMONGST

AND

AND

THE ABOVE MENTIONED PARTIES OF THE FIRST, {SECOND AND THIRD} PART ARE COLLECTIVELY REFERRED TO AS THE "PARTIES" AND EACH IS INDIVIDUALLY REFERRED TO AS A "PARTY"

WHEREAS,

(A) CHIEF EXECUTIVE OFFICER, BHUBANESWAR SMART CITY LIMITED (HEREINAFTER REFERRED TO AS THE "AUTHORITY" WHICH EXPRESSION SHALL, UNLESS REPUGNANT TO THE CONTEXT OR MEANING THEREOF, INCLUDE ITS ADMINISTRATORS, SUCCESSORS AND ASSIGNS) HAS INVITED BIDS (THE BIDS") BY ITS REQUEST FOR PROPOSAL NO. DATED(THE "RFP") FOR AWARD OF CONTRACT FOR REDEVELOPMENT OF ***** PROJECT (THE "PROJECT") THROUGH AN EPC CONTRACT.

(B) THE PARTIES ARE INTERESTED IN JOINTLY BIDDING FOR THE PROJECT AS MEMBERS OF A JOINT VENTURE AND IN ACCORDANCE WITH THE TERMS AND CONDITIONS OF THE RFP DOCUMENT AND OTHER BID DOCUMENTS IN RESPECT OF THE PROJECT, AND

(C) IT IS A NECESSARY CONDITION UNDER THE RFP DOCUMENT THAT THE MEMBERS OF THE JOINT VENTURE SHALL ENTER INTO A JOINT BIDDING AGREEMENT AND FURNISH A COPY THEREOF WITH THE APPLICATION.

NOW IT IS HEREBY AGREED AS FOLLOWS:

- 1. DEFINITIONS AND INTERPRETATIONS IN THIS AGREEMENT, THE CAPITALISED TERMS SHALL, UNLESS THE CONTEXT OTHERWISE REQUIRES, HAVE THE MEANING ASCRIBED THERETO UNDER THE RFP.
- 2. JOINT VENTURE

2.1 THE PARTIES DO HEREBY IRREVOCABLY CONSTITUTE A JOINT VENTURE (THE "JOINT VENTURE") FOR THE PURPOSES OF JOINTLY PARTICIPATING IN THE BIDDING PROCESS FOR THE PROJECT.

2.2 THE PARTIES HEREBY UNDERTAKE TO PARTICIPATE IN THE BIDDING PROCESS ONLY THROUGH THIS JOINT VENTURE AND NOT INDIVIDUALLY AND/ OR THROUGH ANY OTHER JOINT VENTURE CONSTITUTED FOR THIS PROJECT, EITHER DIRECTLY OR INDIRECTLY.

3. COVENANTS

THE PARTIES HEREBY UNDERTAKE THAT IN THE EVENT THE JOINT VENTURE IS DECLARED THE SELECTED BIDDER AND AWARDED THE PROJECT, IT SHALL ENTER INTO AN EPC CONTRACT WITH THE AUTHORITY FOR PERFORMING ALL ITS OBLIGATIONS AS THE CONTRACTOR IN TERMS OF THE EPC CONTRACT FOR THE PROJECT.

4. ROLE OF THE PARTIES

THE PARTIES HEREBY UNDERTAKE TO PERFORM THE ROLES AND RESPONSIBILITIES AS DESCRIBED BELOW:

(A) PARTY OF THE FIRST PART SHALL BE THE LEAD MEMBER OF THE JOINT VENTURE AND SHALL HAVE THE POWER OF ATTORNEY FROM ALL PARTIES FOR CONDUCTING ALL BUSINESS FOR AND ON BEHALF OF THE JOINT VENTURE DURING THE BIDDING PROCESS AND UNTIL THE APPOINTED DATE UNDER THE EPC CONTRACT;

(B) PARTY OF THE SECOND PART SHALL BE {THE MEMBER OF THE JOINT VENTURE; AND}

(C) PARTY OF THE THIRD PART SHALL BE {THE MEMBER OF THE JOINT VENTURE.}

5. JOINT AND SEVERAL LIABILITY

THE PARTIES DO HEREBY UNDERTAKE TO BE JOINTLY AND SEVERALLY RESPONSIBLE FOR ALL OBLIGATIONS AND LIABILITIES RELATING TO THE PROJECT AND IN ACCORDANCE WITH THE TERMS OF THE RFP AND THE EPC CONTRACT, TILL SUCH TIME AS THE COMPLETION OF THE PROJECT IS ACHIEVED UNDER AND IN ACCORDANCE WITH THE EPC CONTRACT.

6. SHARE OF WORK IN THE PROJECT

THE PARTIES AGREE THAT THE PROPORTION OF CONSTRUCTION IN THE EPC CONTRACT TO BE ALLOCATED AMONG THE MEMBERS SHALL BE AS FOLLOWS:

FIRST PARTY:

SECOND PARTY:

FURTHER, THE LEAD MEMBER SHALL ITSELF UNDERTAKE AND PERFORM AT LEAST (......) PER CENT OF THE TOTAL LENGTH OF THE PROJECT IF THE CONTRACT IS ALLOCATED TO THE JOINT VENTURE.

7. REPRESENTATION OF THE PARTIES

EACH PARTY REPRESENTS TO THE OTHER PARTIES AS OF THE DATE OF THIS AGREEMENT THAT:

(A) SUCH PARTY IS DULY ORGANISED, VALIDLY EXISTING AND IN GOOD STANDING UNDER THE LAWS OF ITS INCORPORATION AND HAS ALL REQUISITE POWER AND AUTHORITY TO ENTER INTO THIS AGREEMENT;

(B) THE EXECUTION, DELIVERY AND PERFORMANCE BY SUCH PARTY OF THIS AGREEMENT HAS BEEN AUTHORISED BY ALL NECESSARY AND APPROPRIATE CORPORATE OR GOVERNMENTAL ACTION AND A COPY OF THE EXTRACT OF THE CHARTER DOCUMENTS AND BOARD RESOLUTION/ POWER OF ATTORNEY IN FAVOUR OF THE PERSON EXECUTING THIS AGREEMENT FOR THE DELEGATION OF POWER AND AUTHORITY TO EXECUTE THIS AGREEMENT ON BEHALF OF THE JOINT VENTURE MEMBER IS ANNEXED TO THIS AGREEMENT, AND WILL NOT, TO THE BEST OF ITS KNOWLEDGE:

(I) REQUIRE ANY CONSENT OR APPROVAL NOT ALREADY OBTAINED;

(II) VIOLATE ANY APPLICABLE LAW PRESENTLY IN EFFECT AND HAVING APPLICABILITY TO IT;

(III) VIOLATE THE MEMORANDUM AND ARTICLES OF ASSOCIATION, BY-LAWS OR OTHER APPLICABLE ORGANISATIONAL DOCUMENTS THEREOF;

VIOLATE ANY CLEARANCE. PERMIT. CONCESSION. (IV)GRANT, LICENSE OR OTHER GOVERNMENTAL AUTHORISATION, APPROVAL. JUDGEMENT, ORDER OR DECREE OR ANY AGREEMENT. ANY MORTGAGE INDENTURE OR OTHER INSTRUMENT TO WHICH SUCH PARTY IS A PARTY OR BY WHICH SUCH PARTY OR ANY OF ITS PROPERTIES OR ASSETS ARE BOUND OR THAT IS OTHERWISE APPLICABLE TO SUCH PARTY: OR

(V) CREATE OR IMPOSE ANY LIENS, MORTGAGES, PLEDGES, CLAIMS, SECURITY INTERESTS, CHARGES OR ENCUMBRANCES OR OBLIGATIONS TO CREATE A LIEN, CHARGE, PLEDGE, SECURITY INTEREST, ENCUMBRANCES OR MORTGAGE IN OR ON THE PROPERTY OF SUCH PARTY, EXCEPT FOR ENCUMBRANCES THAT WOULD NOT, INDIVIDUALLY OR IN THE AGGREGATE, HAVE A MATERIAL ADVERSE EFFECT ON THE FINANCIAL CONDITION OR PROSPECTS OR BUSINESS OF SUCH PARTY SO AS TO PREVENT SUCH PARTY FROM FULFILLING ITS OBLIGATIONS UNDER THIS AGREEMENT;

(C) THIS AGREEMENT IS THE LEGAL AND BINDING OBLIGATION OF SUCH PARTY, ENFORCEABLE IN ACCORDANCE WITH ITS TERMS AGAINST IT; AND

(D) THERE IS NO LITIGATION PENDING OR, TO THE BEST OF SUCH PARTY'S KNOWLEDGE, THREATENED TO WHICH IT OR ANY OF ITS AFFILIATES IS A PARTY THAT PRESENTLY AFFECTS OR WHICH WOULD HAVE A MATERIAL ADVERSE EFFECT ON THE FINANCIAL CONDITION OR PROSPECTS OR BUSINESS OF SUCH PARTY IN THE FULFILLMENT OF ITS OBLIGATIONS UNDER THIS AGREEMENT.

8. TERMINATION

THIS AGREEMENT SHALL BE EFFECTIVE FROM THE DATE HEREOF AND SHALL CONTINUE IN FULL FORCE AND EFFECT UNTIL PROJECT COMPLETION (THE "DEFECTS LIABILITY PERIOD") IS ACHIEVED UNDER AND IN ACCORDANCE WITH THE EPC CONTRACT, IN CASE THE PROJECT IS AWARDED TO THE JOINT VENTURE. HOWEVER, IN CASE THE JOINT VENTURE IS EITHER NOT PRE-QUALIFIED FOR THE PROJECT OR DOES NOT GET SELECTED FOR AWARD OF THE PROJECT, THE AGREEMENT WILL STAND TERMINATED IN CASE THE APPLICANT IS NOT PRE-QUALIFIED OR UPON RETURN OF THE BID SECURITY BY THE AUTHORITY TO THE BIDDER, AS THE CASE MAY BE.

9. MISCELLANEOUS

9.1 THIS JOINT BIDDING AGREEMENT SHALL BE GOVERNED BY LAWS OF {INDIA/ODISHA}.

9.2 THE PARTIES ACKNOWLEDGE AND ACCEPT THAT THIS AGREEMENT SHALL NOT BE AMENDED BY THE PARTIES WITHOUT THE PRIOR WRITTEN CONSENT OF THE AUTHORITY.

IN WITNESS WHEREOF THE PARTIES ABOVE NAMED HAVE EXECUTED AND DELIVERED THIS AGREEMENT AS OF THE DATE FIRST ABOVE WRITTEN.

SIGNED, SEALED AND DELIVERED

For and on behalf of

LEAD MEMBER by:

SECOND PART

(Signature) (Name) (Designation) (Address) (Signature) (Name) (Designation) (Address)

In the presence of:

1.....

2.....

Notes:

- 1. The mode of the execution of the Joint Bidding Agreement should be in accordance with the procedure, if any, laid down by the Applicable Law and the charter documents of the executant(s) and when it is so required, the same should be under common seal affixed in accordance with the required procedure.
- 2. Each Joint Bidding Agreement should attach a copy of the extract of the charter documents and documents such as resolution / power of attorney in favour of the person executing this Agreement for the delegation of power and authority to execute this Agreement on behalf of the Joint Venture Member.
- 3. Deleted.